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# Dilip Kumar, T. C. Aseri and R. B. Patel International Journal of Information

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#### Editorial

It is a matter of both honor and pleasure for us to put forth the third issue of BIJIT; the BVICAM's International Journal of Information Technology. This issue of the journal presents a compilation of ten papers that span a broad variety of research topics in various emerging areas of Information Technology and Computer Science. Some application oriented papers, having novelty in application, have also been included in this issue, hoping that usage of these would enrich the knowledge base and facilitate the overall economic growth. This issue shows our commitment in realizing our vision "to achieve a standard comparable to the best in the field and finally become a symbol of quality".

As a matter of policy of the Journal, all the manuscripts received and considered for the Journal by the editorial board are double blind reviewed by at-least two referees. Our panel of expert referees posses a sound academic background and have a rich publication record in various prestigious journals representing Universities, Research Laboratories and other institutions of repute, which, we intend to further augment from time to time. Finalizing the constitution of the panel of referees, for double blind review(s) of the considered manuscripts, was a painstaking process, but it helped us to ensure that the best of the considered manuscripts are showcased and that too after undergoing multiple cycles of review, as required.

The ten papers that were finally published were chosen out of more than eighty papers that we received from all over the world for this issue. We understand that the confirmation of final acceptance, to the authors / contributors, is delayed, but we also hope that you concur with us in the fact that quality review is a time taking process and is further delayed if the reviewers are senior researchers in their respective fields and hence, are hard pressed for time.

We wish to express our sincere gratitude to our panel of experts in steering the considered manuscripts through multiple cycles of review and bringing out the best from the contributing authors. We thank our esteemed authors for having shown confidence in BIJIT and considering it a platform to showcase and share their original research work. We would also wish to thank the authors whose papers were not published in this issue of the Journal, probably because of the minor shortcomings. However, we would like to encourage them to actively contribute for the forthcoming issues.

The undertaken Quality Assurance Process involved a series of well defined activities that, we hope, went a long way in ensuring the quality of the publication. Still, there is always a scope for improvement, and so we request the contributors and readers to kindly mail us their criticism, suggestions and feedback at <u>bijit@bvicam.ac.in</u> and help us in further enhancing the quality of forthcoming issues.

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## **EECHDA: Energy Efficient Clustering Hierarchy and Data Accumulation For Sensor**

#### Networks

Dilip Kumar<sup>1</sup>, T. C. Aseri<sup>2</sup> and R. B. Patel<sup>3</sup>

Abstract - A wireless sensor network with a large number of tiny sensor nodes can be used as an effective tool for gathering data for various applications under different situations. One of the major issues in wireless sensor network is developing an energy-efficient routing protocol which has a significant impact on the overall lifetime of the sensor network. Clustering sensor nodes is an effective technique in wireless sensor networks which can increase network energy efficiency, scalability and lifetime. In this paper, we have proposed an energy-efficient clustering based protocol for wireless sensor networks. We have considered a set of cluster heads for control and management of the network. On rotation basis, a cluster head receives data from the neighboring nodes and transmits the aggregated data to the base station. Adopting this approach, Energy Efficient Clustering Hierarchy and Data Accumulation (EECHDA) is better than existing protocols in terms of energy consumption and network lifetime. Our simulation results demonstrated that EECHDA is able to prolong the time interval of the death of first node in the network.

Index Terms -wireless sensor networks; clustering; energy efficient; aggregation; lifetime

#### **1. INTRODUCTION**

With the development of the information society, sensors are facing ever more new challenges. Detection and monitoring requirements are becoming more complicated and difficult. They trend from single variable to multiple variables; from one point to a plane; from one sensor to a set of sensors; from simple to complex and cooperative. Networking the sensors to empower them with the ability to coordinate on a larger sensing task will revolutionize information gathering and processing in many situations. Networks of sensors can greatly improve environment monitoring for many civil and military applications. Furthermore, many environments may be unsuitable for humans and thus the use of sensors is the only solution; in some places, although accessible, in general it is

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more effective to place small autonomous sensors than to use humans for collection of data.

By integrating sensing, signal processing, and communications functions, a sensor network provides a natural platform for hierarchical and efficient information processing. It allows information to be processed on different levels of abstraction, ranging from detailed microscopic examination of specific targets to a macroscopic view of the aggregate behavior of targets. With focus on applications requiring tight coupling with the physical world, as opposed to the personal communication focus of conventional wireless networks, wireless sensor networks pose significantly different design, implementation, and deployment challenges.

As a microelectronic device, the main task of a sensor node is to detect phenomena, carry out data processing timely and locally, and transmit or receive data. A typical sensor node is generally composed of four components [1], [2], [3], [4], [5], [6], [7], [8]: a power supply unit; a sensing unit; a computing/processing unit; and a communicating unit. The sensing node is powered by a limited battery, which is impossible to replace or recharge in most application scenarios. Except for the power unit, the entire network layer in WSNs is responsible for data delivery from source to destination via well-selected routes [9], [10]. Due to the unique characteristics of Wireless Sensor Networks (WSNs), many of the network layer protocols designed for conventional networks may not fit with the requirements of WSNs. The following principles must be considered in WSN network layer protocols:

- 1. Energy efficiency is always a dominant consideration.
- 2. Routing is often data centric.
- 3. Data aggregation/fusion is desirable, but only useful if it does not affect the collaborative efforts among sensor nodes.
- 4. An ideal sensor network has attribute-based addressing and location awareness.
- 5. Protocols are most likely application specific.

Depending on how the hierarchical structure is formed, hierarchical protocols can be grouped as reserved tree based, chain based, or clustering based. Among these, the clusteringbased approach has received increased attention because of its effectiveness, lower complexity, and flexibility.

In WSNs, a cluster head (CH) is generally a sensor node, which has severe resource limitations, and cluster heads are selected dynamically; therefore, clusters are dynamic within the network, but sensor nodes are often in stationary position. This would reduce the overall energy consumed for data communication over the whole WSN.

Clustering-based schemes also have the advantages of load balancing, and scalability when the network size grows. Challenges faced by such clustering-based approaches include how to select the cluster heads and how to organize the clusters. The clustering strategy could be single-hop cluster or multi hop cluster, based on the distance between the cluster heads and their members. According to the hierarchy of clusters, the clustering strategies can also be grouped into single-level or multilevel clustering.

The principle of data aggregation or data fusion is to minimize traffic load (in terms of number and/or length of packets) by eliminating redundancy. It applies a novel data-centric approach to replace the traditional address-centric approach in data forwarding [11]. Specifically, when an intermediate node receives data from multiple source nodes, instead of forwarding all of them directly, it checks the contents of incoming data and then combines them by eliminating redundant information under the constraints of acceptable accuracy.

In this paper, the main scenario of interest is a cluster based WSN with static homogeneous nodes and energy constrained sensor nodes. All nodes in the network act as sensor nodes collecting information from the environment, apart from they can act as a cluster-head, forwarding the aggregated information to the base station (BS). Each cluster is formed by a set of sensor nodes, one of them assume the role of CH. The cluster head node stores the information it receives and performs the aggregation tasks sending periodical messages to the BS. The proposed routing scheme in this paper is suitable for continuous monitoring of numerous widespread sensors, which are at a large distance from the BS.

The paper is organized as follows: Following the introduction, section 2 summarizes some related work in this area. Section 3 presents our cluster-based hierarchy approach. In Section 4, we perform quantitative analysis for the proposed protocol. Section 5 evaluates the performance of the proposed protocol. Finally, section 6 concludes the paper and provides possible future directions.

#### 2. RELATED WORK

The cluster-based routing protocols are investigated in several research studies. For example, the work in [5] shows that a 2-tier architecture is more energy efficient when hierarchical clusters are deployed at specific locations. In [3], the authors described a multi-level hierarchical clustering algorithm, where the parameters for minimum energy consumption are obtained using stochastic geometry.

Cluster-based approaches are suitable for habitat and environment monitoring, which requires a continuous stream of sensor data. Directed diffusion and its variations are used for event-based monitoring. In [4], authors have described a directed diffusion protocol where query (task) is disseminated into the network using hop-by-hop communication. When the query is traversed, the gradients (interests) are established for the result return path. Finally, the result is routed using the path based on gradients and interests. In [6], a variation of directed diffusion, use rumor routing to flood events and route queries; this approach is suitable for a large number of queries and a fewer events. In [7], authors have analyzed a method to elect cluster heads according to the energy left in each node. The assumption of global knowledge of the energy left in the whole network makes this method difficult to implement. Even a centralized approach of this method would be very complicated and very slow, as the feedback should be reliably delivered to each sensor in every round.

In [12], it proposes a maximum energy cluster head routing protocol which has self configuration and hierarchical tree routing properties. The proposed protocol improved LEACH in several aspects such as it constructs clusters based on radio range and the number of cluster members and the cluster topology in the network is distributed more equally.

In [13], a novel self –organizing energy efficient hybrid protocol based on LEACH is presented, combining cluster based architecture and multiple-hop routing. Multi-hop routing is utilized for inter-cluster communication between Clusterheads and the base station, instead of direct transmission in order to minimize transmission energy.

LEACH [14][15]16][17][18] is one of the most popular hierarchical routing algorithms for clustering of WSNs. In LEACH, a small number of clusters are formed in a self – organized manner. Thus it is a suitable solution for energy efficiency in the sensor network. Although, LEACH is a sound solution in data gathering, but it has certain issues and have several limitations:

LEACH does not address the problem that some nodes are close to each other and thus redundant data may be transferred to the base station.

Cluster heads are not selected in a distributed manner it is possible that too many CHs are located in a specific area that may not produce good clusters.

On an average five CH nodes transmit the fused data from their cluster to the base station.

In [19] [20], the authors worked on the heterogeneous sensor nodes and evaluated the energy efficiency. The performance measures that have been considered are network lifetime, number of cluster heads, stability, throughput and energy of the system.

#### **3. CLUSTER BASED HIERARCHY ARCHITECTURE**

As previously described, LEACH has some issues. In the proposed protocol we have tried to solve these problems. The protocols optimize energy cost when gathering data. In addition, it distributes energy fairly.

The proposed routing scheme is based on the fact that the energy consumed to send a message to a distant node is far greater than the energy needed for a short range transmission. The CHs are responsible for transmitting messages to the distant base station. At one time, only one member of the member node is active and the remaining members are in sleep mode. The task of transmission to the base station is uniformly distributed among all the CHs.

We now describe a few terms that are used in defining our protocol. A CH is a sensor node that transmits an aggregated sensor data to the distant base station. Non-cluster heads are sensor nodes that transmit the collected data to their cluster head. Each cluster has a head-set that consists of several noncluster heads nodes. A round consists of two stages: a cluster head election phase and a data transfer phase. In a cluster head election phase, the head-sets are chosen for the pre-determined number of clusters. In the data transfer phase, the CHs nodes transmit aggregated data to the base station.

#### 3.1. Cluster Head Election Phase

In the proposed model, the number of clusters, q, are predetermined for the wireless sensor network. At the beginning, a set of CHs are chosen on random basis. The sensor nodes closer to the base station can directly send their messages to the base station. Thus they become the member of a cluster. These cluster heads send a short range announces a Join Request message to the nearby nodes. The member nodes receive the advertisements and choose their cluster heads based on the distance. Each member sensor node sends an acknowledgment message to its cluster head. The cluster heads act as local control centers to coordinate the data transmissions in their cluster and are also responsible to send aggregated messages to the distant base station.

#### 3.2. Data Transfer Phase

Once clusters and TDMA-based schedules are formed, data transmission begins. The non-cluster head nodes collect the sensor data and transmit the data to the cluster head, in their allotted timer slots. The cluster-head node must keep its radio turned on to receive the data from the nodes in the cluster. After, some pre-determined time interval, the next non cluster head member becomes a cluster head and the current cluster head becomes a non cluster head member. The energy of the cluster head is drained out more as compared to a non cluster head member; because it has to do more work than the other nodes. In other rounds the higher energy nodes become cluster heads.

#### 4. NETWORK MODEL ANALYSIS

In this section, we describe a radio energy model that is used in the analysis of EECHDA protocol. The energy dissipation, number of frames, time for message transfer, and the optimum number of clusters are analytically determined.

#### 4.1. Radio Energy Dissipation Model

We have used the same radio model as described in [17], where for a shorter distance transmission, such as within clusters, the energy consumed by a transmit amplifier is proportional to d2 where d is the distance between the transmitter unit and the receiver unit. However, for a longer distance transmission, such as from a cluster head to the base station, the energy consumed is proportional to d4. Using the given radio model, the energy consumed to transmit an m-bit message for a longer distance, d, is given by:

$$E_{TL} = E(m, d^{4})$$
<sup>(1)</sup>

Similarly, the energy consumed to transmit an m-bit message for a shorter distance is given by:

$$E_{TS} = E(m, d^2) \tag{2}$$

Moreover, the energy consumed to receive the m-bit message is given by:

$$E_{RX} = E(m)_{+\text{EDA}} \tag{3}$$

where E(m) presents the energy consumption of radio dissipation. Additionally, the operation of data aggregation approach consumes the energy as EDA. The constants used in the radio model are given in Table 1.

#### 4.2. Energy Consumption in Election Phase

For a sensor network of N nodes, the optimal number of clusters is given as q. All nodes are assumed to be at the same energy level at the beginning. The amount of consumed energy is same for all the clusters. At the start of the election phase, the base station randomly selects a given number of cluster heads. Initially, the cluster heads broadcast messages to all the sensor nodes in their neighborhood. Next, the sensor nodes receive messages from one or more cluster heads and choose their cluster head using the received signal strength. After this, the sensor nodes transmit their decision to their corresponding cluster heads. Finally, the cluster heads receive messages from their sensor nodes and remember their corresponding nodes. For uniformly distributed clusters, each cluster contains N/q nodes. Using Equation 2 and Equation 3, the energy consumed by a cluster head is estimated as follows:

$$E_{CH} = E_{TS} + (\frac{N}{q} - 1).E_{RX} + E_{DA}$$
(4)

The first part of Equation 4 represents the energy consumed to transmit the advertisement message; this energy consumption is based on a shorter distance energy dissipation model. The second part of Equation 4 represents the energy consumed to receive (N/q-1) messages from the sensor nodes of the same cluster. Equation 4 can be simplified as follows:

$$E_{CH} = m.Q.\frac{N}{q} + m.E_{DA}.(\frac{N}{q} - 1) + m.\tau.d^{2}$$
(5)

Using Equation 2 and Equation 3, the energy consumed by non-cluster head sensor nodes is estimated as follows:

$$E_{NCH} = \{q.E(m) + E(m,d^2)\}$$

The first part of Equation 6 shows the energy consumed to receive messages from q cluster heads; it is assumed that a sensor node receive messages from all the cluster heads. The second part of Equation 6 shows the energy consumed to transmit the decision to the corresponding cluster head. Equation 6 can be simplified as follows:

$$E_{NCH} = \{ (q+1).m.Q + q.m.E_{DA} + m.\tau.d^2) \}$$
(7)

(6)

#### 4.3. Energy Consumption in Data transfer Phase

During data transfer phase, the nodes transmit messages to their cluster head and cluster heads transmit aggregated messages to a distant base station. The energy consumed by a cluster head is as follows:

$$E_{CH/f} = \{m.Q + m.\mu.d^{4}\} + \{\frac{N}{q}.m.(Q + E_{DA})\}$$
(8)

The first part of Equation 8 shows the energy consumed to transmit a message to the distant base station. The second part of Equation 8 shows the energy consumed to receive messages from the remaining (N/q) nodes that are non cluster head nodes. Equation 8 can be simplified as follows:

$$E_{CH/f} = m.(.\mu.d^4 + \frac{N}{q}.(Q + E_{DA}))$$
(9)
The energy ENCH/ f consumed by a non-cluster head node t

The energy, ENCH/ f, consumed by a non-cluster head node to transmit the sensor data to the cluster head is given below:

$$E_{NCH/f} = E_{TS} \tag{10}$$

For circular clusters with a uniform distribution of sensor nodes and a network diameter of A, the average value of d2 is given as:

$$E[d^2] = \left(\frac{A^2}{2\pi q}\right)$$

and Equation 10 can be simplified as follows:

$$E_{NCH} = m.(Q + \tau \frac{A^2}{2\pi q}) \tag{11}$$

In one round, Df data frames are transmitted. The number of frames transmitted by each cluster is Df /q. The Df /q frames are uniformly divided among N/q nodes of the cluster. Each cluster head frame transmission needs N/ q -1 non-cluster head frames. For simplification of equations, the fractions G1 and G2 are given as below:

$$G_{1} = \left(\frac{1}{\frac{N}{q}}\right) \frac{1}{q}$$

$$G_{2} = \left(\frac{\frac{N}{q}-1}{\frac{N}{q}-1}\right) \frac{1}{q}$$
(12)
(13)

The energy consumptions in a data transfer stage of each cluster are as follows:

$$E_{DT} = G_1 \cdot D_f \cdot E_{CH/f} \tag{14}$$

$$E_{NDT} = G_2 . D_f . E_{NCH/f}$$
<sup>(15)</sup>

#### 4.4. Energy Computed for one round

There are q clusters and N nodes. Each round consists of a cluster head election phase and a data transfer phase. The energy consumed in one iteration of cluster is as follows:

$$E_{CH/iter/cluster} = E_{CH} + E_{DT}$$

$$E_{CH/iter/cluster} = E_{CH} + E_{DT}$$

$$(16)$$

$$E_{NCH/iter/cluster} = E_{NCH} + E_{NDT}$$
(17)

Since there are N/q nodes in a cluster, the ECH/iter/cluster is uniformly divided among the cluster members, as given below:

$$E_{CH/N} = E_{CH/iter/cluster}$$
(18)

Similarly, there are  $\{(N/q)-1\}$  non-cluster head nodes in a cluster. The ENCH/iter/cluster is uniformly distributed among all the non-cluster head members as follows:

$$E_{NCH/N} = \frac{E_{NCH/iter/cluster}}{(\frac{N}{q} - 1)}$$

The start energy, Es, is energy of a sensor node at the initial start time. An estimation of Es is given below:

(19)

$$E_{S} = E_{CH/N} + \left(\frac{N}{q} - 1\right) E_{NCH/N}$$
(20)

Using Equation 18, Equation 19 and Equation 20, Es can be described as below:

$$E_{S} = \left(E_{CH/iter/cluster} + E_{NCH/iter/cluster}\right)$$
(21)

#### 4.5. Optimum number of clusters

In a cluster, the energy consumed to transmit an aggregated reading to the base station is as follows:

$$E_{C} = E_{CH/f} + \left(\frac{N}{q} - 1\right) E_{NCH/f}$$
(22)

The first part of Equation 22 is due to the energy consumption by cluster head. The second part of Equation 22 is due to (N/q-1) non-cluster head nodes. The total energy consumed by q clusters is as follows:

$$E_{T/f} = q.E_C \tag{23}$$

The total energy consumed by q clusters is given below:

$$E_{T/f} = q \left\{ m \mu d^4 + \left( \frac{N}{q} - 1 \right) m Q + \left( \frac{N}{q} - \right) m E_{DA} \right\} + q \left\{ \left( \frac{N}{q} - 1 \right) \left( m Q + m \tau \frac{A}{2\pi q} \right) \right\}$$
(24)

The optimum number of q for minimum consumed energy can be determined as follows:

$$\frac{dE_T}{dk} = 0$$

$$q = \sqrt{\frac{N}{2\pi}} \sqrt{\frac{\tau}{\mu d^4 - Q_{DA}}} A$$
(30)

#### 5. SIMULATION RESULTS

Wireless sensor networks (WSNs) contain 200 number of sensor nodes equipped with sensing, computing and communication abilities. Each node has the ability to sense elements of its environment, performs simple computations, and communicates among its peers or directly to an external base station (BS) as shown in Figure 1. Deployment of a sensor network is in random fashion. In this section, we have evaluated the effectiveness performance of the EECHDA through simulations. We have considered first order radio model and the simulation parameters for our model are mentioned in the Table 1.To validate the performance of EECHDA, we have simulated direct and EECHDA wireless sensor network in a field with dimensions  $M \times M$  as shown in Figure 2. All the sensor nodes are randomly distributed over the sensor field. This means that the horizontal and vertical coordinates of each sensor are randomly selected between 0 and maximum value of the dimension. The base station is located far away from the network. The size of the message that nodes send to their cluster heads as well as the size of the (aggregate) message that a cluster head sends to the base station is set to 50 bytes.

We have simulated EECHDA and Direct protocol in the same environment. The results of EECHDA and Direct simulations are shown in Figures 3 -6.

Figure 3 shows, the variation in the energy consumed per node with respect to the number of clusters and network diameter. The x-axis and y-axis represent the number of clusters and the energy consumed in one round, respectively. Figure 4, shows the energy consumption with respect to the number of clusters. As expected, the energy consumption is reduced when the number of clusters is increased. However, the rate of reduction in energy consumption is reduced for higher cluster sizes. Figure 5, illustrates the energy consumption with respect to the network diameter. The energy consumption is increased when the network diameter is increased in direct transmission protocol. We have also evaluated the network lifetime by examining the round when the first and last node dies in the network. Figures 6(a)-6(b), shows that the proposed protocol offers a much longer lifetime than direct transmission. Here, direct transmission means that each node transmits its data directly to the base station or sink. This extends the network lifetime by 50% in EECHDA over Direct. However, EECHDA requires less energy consumption in cluster configuration than the direct configuration. Thus, the proposed protocol is energy efficient.

Parameters	Symbol	Value
Network area	M x M	(0,0) to (200,200)
Number of nodes	Ν	200
Location of BS	Outside	(100,100),(100,300)
Data aggregation energy	EDA	5nJ/bit/report
Energy consumed by the amplifier to transmit at a	τ	10pJ/bit/m2
shorter distance		
Energy consumed by the	μ	0.0013pJ/bit/m4

Parameters	Symbol	Value
amplifier to transmit at a long distance		
Energy consumed in the electronic circuit to transmit or receive the signal	Q	50nJ/bit
Initial energy of node	E0	0.5J
Packet Size	m	50bytes
Number of cluster heads	q	20

**Table1: Simulation Parameters** 

#### 6. CONCLUSIONS AND FUTURE WORK

In this paper, we have developed EECHDA, clustering based network protocol that minimizes energy usage and the quantitative results indicate that the energy consumption can be systematically decreased by including more clusters in networks. Both theoretical analysis and simulation results show that EECHDA has significant gain in network lifetime over direct transmission under the assumption that nodes are randomly and densely deployed. We have also examined the energy of the battery drain rate is less in case of clustered network than the direct transmission in the same network. Simulation results show that the network lifetime is extended by 50% in EECHDA over direct transmission. One of the future works will include the study of an energy efficient algorithm through data accumulation in a mobile sensor network.

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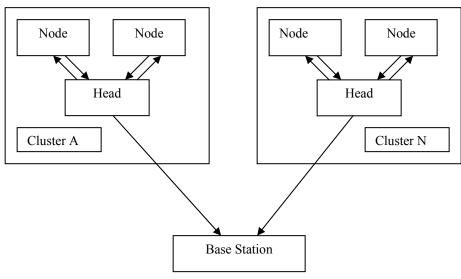


Figure1: Hierarchical clustering architecture

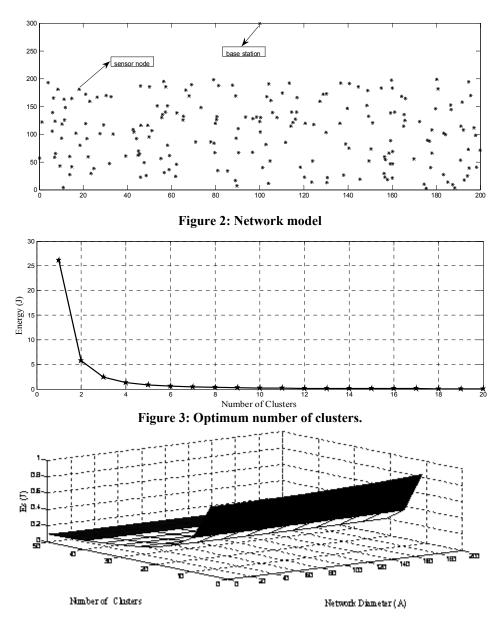
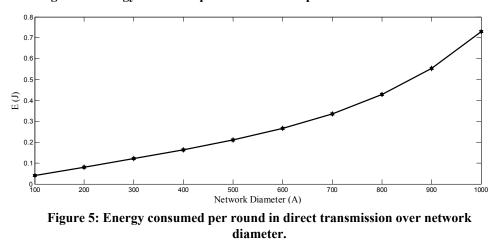


Figure 4: Energy consumed per round with respect to number of clusters.



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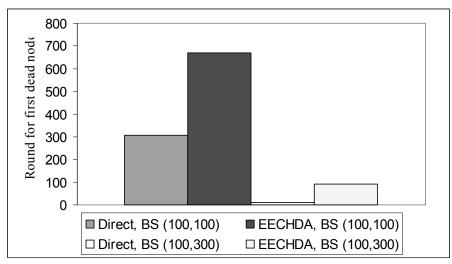


Figure 6 (a): Round for first dead node in EECHDA and Direct.

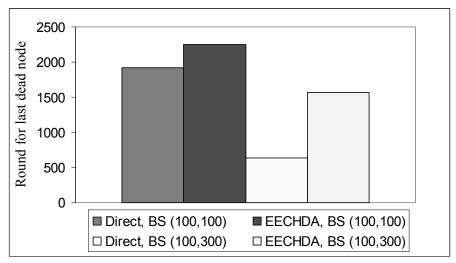


Figure 6(b): Round for last dead node in EECHDA and Direct.

# **Fuzzy Expert System for Noise Induced Sleep Disturbance and Health Effects**

## Devendra K. Tayal<sup>1</sup>, Amita Jain<sup>2</sup> and Vinita Gupta<sup>3</sup>

Abstract - In this paper an effort has been made to develop a fuzzy based model to study the impact of various noise factors on Sleep disturbance and Health. We thoroughly survey the existing literature and identify the deficiencies in the existing models in this field. We then identify various noise factors which can have the significant impact on Sleep and health. The MIMO Expert system developed in this paper gives sleep disturbance, health condition in the morning and health as output variables and noise level, short noise duration, long noise duration, age and Type of noise as the input variables. Appropriate fuzzification and defuzzification strategies have been used and the implementation in MATALAB 7.0.1 has been done. It has been established from work of various researchers that effect of meaningful noise like songs and talks affect sleep and health-conditions badly than meaningless noise like railway noise, roadside noise. Similarly other input variables affect sleep & health condition. These factors have been studied in this paper. The noise level and duration of noise, which are also the prominent factors in deciding effect on hearing output factor have been discussed, for e.g. a noise of low level does not have prominent affect on human being as of high level of noises..

Index Terms - Noise, Expert system, Fuzzy logic

#### **INTRODUCTION**

Noise, which is often referred to as unwanted sound, is typically characterized by the intensity, frequency, periodicity (continuous or intermittent) and duration of sound. Sound is the result of pressure changes in the air caused by vibration [2]. Noise effects on people is more than stress. Noise affects millions of people worldwide on a daily basis. Highway noise alone affects more than 18 million people in the United States and 100 million people worldwide [3]. Noise cannot only degrade the living of a person but can also produce some permanent ill-effects like hearing loss[1]. So it is crucial to have a model which can predict the effect of noise on different age groups. There are several factors which can disturb sleep like age, noise duration, noise level, type of noise, physical

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health, mental health, etc. but the main factors are age, noise duration, noise level and type of noise. It has been found that type of noise disturbs people's sleep in a significant manner. As described in [12], noise-induced sleep disturbance in urban areas degrades the quality of life and therefore must be given a high priority from a public health point of view. World Health Organization (WHO) in this regard has stated "If through living in an area that is too noisy, a person fails to obtain sufficient sleep over long periods of time, the implications for health are obvious". Uninterrupted sleep is known to be a prerequisite for good physiological and mental functioning of healthy persons. During the last four decades, there has been an exponential growth in noise level due to reasons like increase in population, increase in traffic density (both road and air), increase in industrial establishments, and increase in the use of various noise producing devices on several occasions [12]. So making a model on this concept is very necessary and useful. The type of noise is basically a very important factor in deciding the sleep disturbance. As the karaoke songs, people's talk, the noises which get meaning, disturbs people more and in the morning they don't feel good. The effect of this is shown in different ways like headache in morning, tiredness etc. . On the other hand if the noise is meaningless then people get disturbed only when the noise level is high as is shown in the experiments of S. Kuwano and T. Mizunami [8]. So this factor is considered as very important in deciding sleep disturbance and health conditions in the morning. In this paper basically four different types of noises are considered like karaoke songs, people's talk, railway noise[15] and road traffic noise[9]. There are other types of noises also like ventilation noise, air conditioner noise but they are not included in this model as they do not affect people much in all the three output variables. There are also some factors like psychological conditions, physical health etc. which can affect all output variables. This will be focus of our next research.

The effect of noise duration and noise level on the health of human beings is very high, for e.g when the noise duration is very less but the noise level is 75db (A) then hearing loss is also possible, on the other hand if noise duration is long and noise level is room noise then there is no danger to human ears.

# 2. FUZZY LOGIC

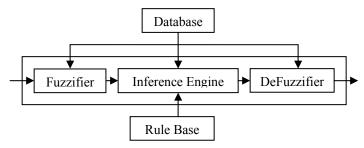
#### A. Introduction

Boole<sup>[5]</sup> introduced the beautiful notion of binary sets, which is the foundation of modern digital computer but boolean logic is unable to model the human cognition and thinking process. Because of its rigid boundaries, the two valued logic is not so efficient in mapping real world situations. For handling real world problems Zadeh [6] introduced the concept of 'mathematics of fuzzy or cloudy quantities' followed by his seminal paper 'Fuzzy sets' [7]. Generally, the term fuzzy logic

is used in two different senses [13]. In a narrow sense, fuzzy logic refers to a logical system that generalizes classical twovalued logic for reasoning under uncertainty. In a broad sense, fuzzy logic refers to all of the theories and technologies that employ fuzzy sets, which are classes with unsharp boundaries.

#### **B.** Fuzzy Expert System

Expert systems solve problems that are normally solved by human "experts" [17]. The problems that expert systems deal with are highly diverse. As specified in [19], the main paradigm of fuzzy expert-system (fuzzy rule based system) is the fuzzy algorithm, the essential concepts of which are derived from fuzzy logic. It is basically an expert knowledge-based system that contains the fuzzy algorithm in a simple rule base. As depicted in Fig. 1, a fuzzy rule based system is composed of four parts: fuzzifier, knowledge base, inference engine, and defuzzifier [11].





In general, a fuzzy rule based system with multi-inputs multiple-output (MIMO) can be represented in the following manner:

IF X1 is A1 AND X2 is A2 AND

... AND Xr is Ar

THEN Y1 is C1 AND Y2 is C2 AND

... AND Ys is Cs

Where X1, X2,., Xr are the input variables and Y1, Y2,., Ys are the output variables, Ai (i=1,., m) and Ci (i=1,., s) are fuzzy subsets of the universes of discourse U1, U2,., Ur, and V1, V2,., Vs of X1, X2,., Xr and Y1, Y2,., Ys respectively.

#### **3. PROPOSED FUZZY SYSTEM**

In 1975 Mamdani and Assilian proposed MIMO model. Using this model, a new type of Fuzzy Expert System is implemented, the following equations define this system:

V1=F(U1,U2,U3,U5);

V2=F(U1,U4);

V3=F(U1,U3,U5).

Where V1, V2, V3 are output variables sleep disturbance, health effects, health condition in morning res. and U1, U2, U3, U4, U5 are input variables noise level, age, type of noise, long noise duration, short noise duration res.

For the development of this fuzzy expert system the following steps are followed:

- 1. System's variables are identified;
- 2. Ranges of input and output variables are determined.
- 3. Membership functions for system's variable is selected.

#### 4. Linguistic rules are formed.

The various input and output variables can be considered for this system like stage of sleep, psychological health condition, physical health condition, duration of noise, status of a person and so on but for the sake of simplicity only five input variables viz. long noise duration, short noise duration, noise level, age and type of noise are considered. Similarly only three output variables are considered viz. sleep disturbance, health effects, health condition in morning. The MIMO model of fuzzy system is shown in Fig.2, depicting its various input and output variables. These variables in fuzzy modelling are defined as linguistic variables whose linguistic values are words or sentences in a natural or synthetic language (Zadeh, 1994) [18]. Then in the next step table 1 is formed which shows linguistic variables, their linguistic values and associated fuzzy intervals. For instance corresponding to the linguistic variable Noise-Level, linguistic values are Extremely Extremely Low (EEL) to Very Very High Extremely High (VVHEH), fuzzy interval from 25 dB(A)to145Db(A) is assigned. In third step all linguistic values are expressed in the form of fuzzy sets, which are represented by its membership functions. The Triangular membership function is used as it is simple and computationally efficient. Membership functions for this system are shown in Fig 3 a-h. Finally, through IF-THEN rules, the relationship between input and output variables are formed. A set of rules are illustrated in Table 2. This model is implemented in MATLAB 7.0.1.

#### 4. RESULTS AND DISSCUSSION

In this Mamdani & Sugeno fuzzy model, Sleep disturbance, health effects, health condition in morning are considered to be a function of long noise duration, short noise duration, noise level, age and type of noise. The results are plotted using MATLAB 7.0.1 and are shown in Fig. 4a-I, Fig. 4(a) shows output variable Sleep Disturbance as function of age and noise level and other input variables at their default value. Fig. 4(a)shows output variable Sleep Disturbance as function of age and noise level and other input variables at their default value. Fig. 4(b) shows output variable Sleep Disturbance as function of short noise duration and noise level and other input variables at their default value. Fig. 4(c) shows output variable Sleep Disturbance as function of long noise duration and noise level and other input variables at their default value. Fig. 4(d) shows output variable Sleep Disturbance as function of Type of noise and noise level and other input variables at their default value. Fig. 4(e) shows output variable Health effects as function of age and noise level and other input variables at their default value. Fig. 4(f) shows output variable Health effects as function of short noise duration and noise level and other input variables at their default value. Fig. 4(g) shows output variable Health effects as function of Type of Noise and noise level and other input variables at their default value. Fig. 4(h) shows output variable Health effects as function of Long noise duration and noise level and other input variables at their default value. Fig. 4(i) shows output variable Health condition in morning as

function of Long noise duration and noise level and other input variables at their default value.

#### **5. CONCLUSION**

A new type of Fuzzy MIMO Expert system has been successfully implemented using Matlab 7.0.1. This MIMO system predicts the health effect, health condition in the morning and sleep disturbance, taking five different types of input variables viz. type of noise, age, short noise duration, long noise duration, and noise level. This fuzzy expert system can be used for knowing health effects in noisy region. This fuzzy expert system will prove to be a guideline for making new expert systems in future and can be effectively used in medical engineering.

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#### TABLE 2 SET OF RULES.

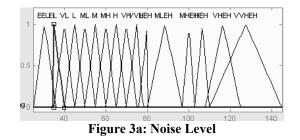
1. If (Noise\_level is EL) and (Age is Young) and (Short\_Noise\_Duration is Short) then (Sleep\_Disturbance is ES)(Health\_effects is Comfortable) (1)

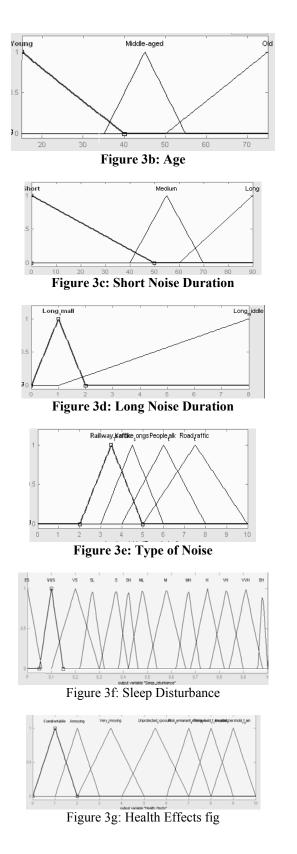
2. If (Noise\_level is EL) and (Age is Young) and (Short\_Noise\_Duration is Medium) then (Sleep\_Disturbance is VVS)(Health\_effects is Comfortable) (1)

. . . . . . . . .

308. If (Noise\_level is VVHEH) and (Age is Old) and (Short\_Noise\_Duration is Long) and (Type\_of\_noise is Road\_traffic) then (Health\_effects is beyond\_Thershold\_of\_pain) (1)

309. If (Noise\_level is VVHEH) and (Age is Old) and (Short\_Noise\_Duration is Long) and (Type\_of\_noise is Karoke\_songs) then (Health\_effects is beyond Thershold of pain) (1)





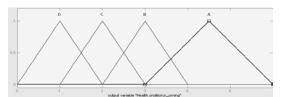


Figure 3h: Health\_condition\_in\_morning Figure 3: Showing membership functions for all input and output variables

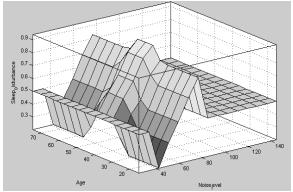


Figure.4a: Output (Sleep Disturbance), inputs noise level and age.

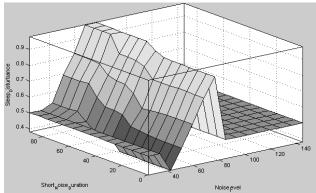


Figure.4b: Output (Sleep Disturbance), inputs noise level and short noise duration.

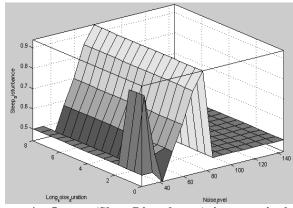


Figure.4c: Output (Sleep Disturbance), inputs noise level and Long noise duration

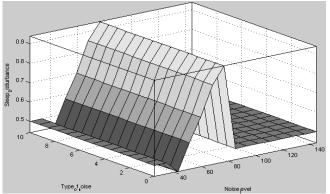


Figure.4d: Output (Sleep Disturbance), inputs noise level and Type of Noise

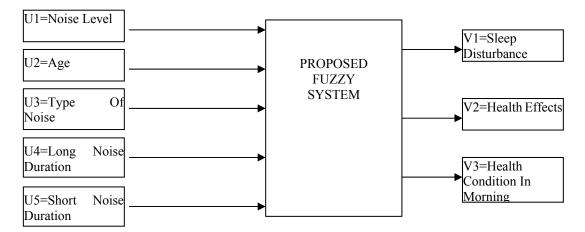


Figure 2: Fuzzy model for this system.

S.NO.	System's	Linguistic	Linguistic values	Fuzzy intervals
	variables	variables		
1.	Inputs	Noise level	EEL-Extremely Extremely Low	25 - 36 dB(A)
			EL - Extremely Low	35 – 40 dB(A)
			VL - Very Low	35 – 45 dB(A)
			L - Low	40 -50 dB(A)
			ML - Medium Low	45 – 55 dB(A)
			M – Medium	50 - 60  dB(A)
			MH – Medium High	55 – 65 dB(A)
			H – High	60 – 70 dB(A)
			VH – Very High	65 – 75 v
			VVH - Very Very High	70 – 78 dB(A)
			LEH – Low Extremely High	76 – 80 dB(A)
			MLEH – Medium Low Extremely High	80 – 97 dB(A)
			MHEH - Medium High Extremely High	96.8 – 103 dB(A)
			HEH - Medium Extremely High	103 – 110 dB(A)
			VHEH- Very High Extremely High	108 – 125 dB(A)
			VVHEH – Very Very High Extremely High	110 – 145 dB(A)
2.		AGE	YOUNG	15-40 years
			MIDDLED-AGED	35 – 55 years

S.NO.	System's	Linguistic	Linguistic values	Fuzzy intervals
	variables	variables	OLD	50 – 75 years
3.		SHORT NOISE	SHORT	0-50  sec.
5.		DURATION	SHOKI	0 - 50 see.
			MEDIUM	40 - 70 sec.
			LONG	60 – 90 sec.
4.		LONG NOISE DURATION	LONG-SMALL	0 – 2 Hrs.
			LONG-MIDDLE	1 -8 Hrs.
5.		TYPE OF NOISE	RAILWAY TRAFFIC	2-5
			KAROKE SONGS	3-6
			PEOPLE TALK	4 - 8
			ROAD TRAFFIC	5 - 10
6.	OUTPUT	SLEEP_ DISTURBANCE	ES – Extremely Small	0 - 0.06
			VVS – Very Very Small	0.05 - 0.15
			VS - Very Small	0.1 - 0.3
			SL –Small Low	0.22 - 0.32
			S - Small	0.3 - 0.42
			SH - Small	0.38 - 0.46
			ML – Medium Low	0.42 - 0.54
			M - Medium	0.5 - 0.66
			MH - Medium High	0.62 - 0.72
			H - High	0.68 - 0.82
			VH - Very High	0.76 - 0.9
			VVH - Very Very High	0.84 - 0.98
			EH - Extremely High	0.96 - 1
7.		HEALTH_EFFEC TS	COMFORTABLE	0-2
			ANNOYING	1 – 3
			VERY_ANNOYING	2-5
			UNPROTECTED EXPOSURE	4 – 7
			RISK PERMANENT HEARING LOSS	6 - 8
			THERESHOLD_OF_SENSATION	7-9
			BEYOND_THERSHOLD_OF_PAIN	8-10
8.		Health_condition_ in morning	D - could not sleep and have headache	0-2
			C - could not sleep well, but do not feel bad	1-3
			B - slept well, but feel bad	2 - 4
			A - could not sleep and have headache	3-6

Table 1: Inputs and output with their associated fuzzy values.

## A Novel Metric for Detection of Jellyfish Reorder Attack on Ad Hoc Network

# **B. B. Jayasingh<sup>1</sup>** and **B. Swathi<sup>2</sup>**

Abstract - Ad Hoc networks are susceptible to many attacks due to its unique characteristics such as open network architecture, stringent resource constraints, shared wireless medium and highly dynamic topology. The attacks can be of different types out of which denial of service is one of the most difficult attacks to detect and defend. Jellyfish is a new denial of service attack that exploits the end to end congestion control mechanism of TCP (Transmission Control Protocol) which has a very devastating effect on the throughput. The architecture for detection of such attack should be both distributed and cooperative to suit the needs of wireless adhoc networks that is every node in the wireless ad-hoc network should participate in the intrusion detection. We intend to develop an algorithm that detects the jellyfish attack at a single node and that can be effectively deployed at all other nodes in the ad hoc network. We propose the novel metric that detects the Jellyfish reorder attack based on the Reorder Density which is a basis for developing a metric. The comparison table shows the effectiveness of novel metric, it also helps protocol designers to develop the counter strategies for the attack.

Index Terms - jellyfish attack, Percentage of Late Packets  $(P_L)$ , Mean Displacement of Packets  $(M_D)$ , Mean displacement of late packets  $(M_L)$ , Reorder Entropy $(E_R)$ .

#### **1. INTRODUCTION**

Dynamic topology, distributed operation, and resource constraints are some of the unique characteristics that exist in the ad hoc networks, which inevitably increase the vulnerability of such network. Many characteristics might be used to classify attacks in the ad hoc networks. MANET not only inherits all the security threats faced in both wired and wireless networks, but it also introduces security attacks unique to itself [1]. As people will be encouraged to use a secured network, it is important to provide MANET with reliable security mechanisms if we want to see this exciting technology become widely used in a next few years. Before the development of any security measure to secure mobile ad hoc networks, it is important to study the variety of attacks that might be related to such networks. With the knowledge of some common attack issues, researchers might have a better understanding of how mobile ad hoc networks could be

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Routing is one of the most vital mechanisms in the ad hoc networks. Improper and insecure routing mechanisms will not only degrade the performance of the ad hoc networks, but will also render such networks vulnerable to many security attacks. One of the basic elements in the routing mechanism is the routing message, which is used to establish and maintain relationships between nodes in the networks. The importance of the routing message has made it a main target by the attackers to launch attacks against the ad hoc networks [2, 3, 16]. In designing security mechanisms for mobile ad hoc networks, one must consider the attacks variations as well as the characteristics of the attacks that could be launched against the ad hoc networks.

The first JF attack is the packet reordering attack. TCP has a well-known vulnerability to reordered packets due to factors such as route changes or the use of multi-path routing, and a number of TCP modifications have been proposed to improve resistance to misordering including TCP Stack [5] and reorder robust TCP [6].However, no TCP variant is strong enough to resist such malicious and persistent reordering as employed by the JF misordering attack. The mechanism that the jellyfish node uses for attack consists of delivering all received packets, but in scrambled order by placing them in a reordering buffer instead of the canonical FIFO order i.e.JF nodes maliciously reorder packets. Consequently, such persistent re-ordering of packets will result in near zero goodput, despite having all transmitted packets delivered.

We intend to develop a detection algorithm that can detect the jellyfish Reorder attack at a single node. The attack can be effectively detected by deploying the same detection mechanism at all nodes in the ad hoc network. We are assuming that there is no packet loss and duplication. The algorithm detects the persistent reordering employed by the Jellyfish node [17]. The algorithm takes sequence number, acknowledgment number and Receive index as inputs. The sequence number and Receive index are used to calculate a value called Reorder density which is the basis for developing a metric that can detect the reordering of the packets that is done by the attacker. The algorithm also checks whether the acknowledgment number, that are generated when the packets are received, are reordered. Thus the new metric and the detection of reordered acknowledgment numbers can detect the Jellyfish Reorder attack effectively.

The rest of the paper focuses on the existing metrics for the packet reordering calculation in the section 2 and the calculations we do by using mathematical formulae's in section 3. We summarized the calculation in the section 4 and the lessons learned able to find a new metric that is proposed in section 5. The comparisons made between the existing metrics

calculation and the proposed metric is discussed in section 6, following with the conclusion in section 7.

#### 2. EXISTING METRICS FOR REORDERING

Many attackers disobey protocol rules, whereas jellyfish obeys the protocol rules and hence is difficult to detect until after the sting. Jellyfish target closed-loop flows. One example of such a closed loop flow is the TCP flow .Just like any IP service, Jellyfish node can drop packets, Reorder packets, Delay / jitter packets but it is done in a malicious way. Since the Jellyfish Attack maintains compliance with all control plane and data plane protocols, it is difficult to distinguish from congestion and packet losses that occur naturally in a network, and therefore detection and diagnosis is hard, costly resourceconsuming and time consuming [4].

There are several simple, derived metrics to monitor packet reordering in a network or an end to end connection. In this section, we discus the existing metrics for determining the reordering such as Percentage of Late Packets, Mean Displacement of Packets and the Reorder entropy [8, 9, 10].

We assume that there is no packet loss and duplication for the calculation of these metrics. However the calculation of these metrics is based on RD value which is obtained by applying the algorithm in [11, 12, 13, 14,]. Reorder Density (RD) is a discrete density function that is used to detect and capture the nature of reordering in a packet stream.

#### 2.1 Percentage of Late Packets (PL)

To capture the lateness of packets from their original positions the percentage of late packets is defined as the percentage of packets that exhibit lateness with respect to their expected position, as given by the receive index.

i=D<sub>r</sub>  $P_L = \sum RD[i]$ i = +1

 $P_{I} = 0$  corresponds to the case where all the packets are in order. For a sequence with packet reordering,  $P_L > 0$ .

#### **2.2 Mean Displacement of Packets (M<sub>D</sub>)**

Packet reordering is associated with two types of events, lateness events and earliness events. In a lateness event, the corresponding displacement is always positive. And a negative displacement is mapped with the earliness event. When calculating the mean displacement of packets, if both late and early packets are included, from equation 1, the mean displacement is zero for all cases.

Equation 1:
$\sum (i \times RD[i] = 0$
i

Therefore, the mean displacement, when all packets are taken together, is not useful. On the other hand, one can consider the magnitude of displacement of packets, and divide it by the total number of packets to define a mean displacement M<sub>D</sub>:

Mean Displacement (M<sub>D</sub>)

 $i=+D_r$  $i=+D_r$ 

$$M_{D} = \left| \sum_{i=-D_{r}} (|i| \times RD[i]) \right| / \left| \sum_{i=-D_{r}} RD[i] \right|$$

#### Mean displacement of late packets (M<sub>L</sub>)

RD[i] refers to the probability that a packet arrives *i* packets away from its expected position. Thus considering only the late packets, the mean displacement of late packets is given as: i-+D i-D

$$M_{L} = \left[\sum_{i=1}^{L-\tau} D_{r} \right] / \left[\sum_{i=1}^{L-\tau} D_{r}\right]$$

Similarly, the Mean displacement for earliness is:  $i=-D_r$ 

$$M_{E} = \left| \left[ \sum_{i=1}^{N} (i \times RD[i]) \right] / \left[ \sum_{i=-1}^{N} RD[i] \right] \right|$$

i=-D<sub>r</sub>

Note here that we divide the total positive (negative) displacement by the total number of late (early) packets. Both  $M_L$  and  $M_E$  are always none negative values, and  $M_L=M_D/2P_L$ ,  $M_E = M_D / 2P_E$ .

#### **2.3 Reorder Entropy(**E<sub>R</sub>)

Entropy is a concept that is used to define the randomness or the disorder. As RD is a discrete probability distribution, that of packet displacement (a form of disorder), we define reorder entropy as:

$$E_{R} = (-1) \times \sum_{i=-D_{r}}^{i=+D_{r}} Log_{e} RD[i] \times Log_{e} RD[i])$$
RD [0] = 1

, when no packet ordering is present, the reorder entropy is equal to zero. On the other hand, the packet sequence has the most variance, when packets are displaced uniformly with equal probabilities [15].

#### **3. REORDERING CALCULATION**

This section deals with calculation of existing metrics for different number of packets, with and without reorder.

S denotes the Sequence Number of packets. Receive Index (RI) is a value assigned to a packet as it arrives at its destination, according to the order of arrival. Displacement (D) is the difference between RI and the sequence number of the packet. Displacement Frequency FD[k] is the number of arrived packets having a displacement of k. RD is defined as the distribution of the Displacement Frequencies FD[k], normalized with respect to N'. N' is equal to the sum (FD[k])[14].

#### 3.1 Three Packets with Reorder

Consider the sequence of packets (2,3,1). The Tables 1 and 2 show the computational steps when the RD algorithm is applied to the above sequence.

S	2	3	1
RI	1	2	3
D	-1	-1	2
FD[D]	1	2	1

Table 1: showing the calculation of D and FD[D]

The last row (FD[D]) represents the current frequency of occurrence of the displacement D, e.g., column 2 indicates FD[-1] = 2 while column 3 indicates FD[2] = 1. The final sets of values for RD are shown in Table 2.

D	-1	2
FD[D]	2	1
RD[D]	0.66	0.33
	• • • • • • •	(DDID)

Table 2: showing the calculation of RD[D]

 $\begin{array}{ll} P_L = & \text{RD}\left[2\right] = 0.33 \\ M_D = & (1 \pm 0.66 \pm 2 \pm 0.33) / (0.66 \pm 0.33) = 1.32 \\ M_L = & (2 \pm 0.33) / (0.33) = 2 \\ M_E = & |-1 \pm 0.66| / 0.66 = 1 \\ E_R = & 0.63 \end{array}$ 

#### 3.2 Three Packets without Reorder

Consider the sequence of packets (1,2,3). The Tables 3 and 4 show the computational steps when the RD algorithm is applied to the above sequence.

S	1	2	3
RI	1	2	3
D	0	0	0
FD[D]	1	2	3

Table 3: showing the calculation of D and FD[D]

The last row (FD [D]) represents the current frequency of occurrence of the displacement D, e.g., column 2 indicates FD[0] = 2 while column 3 indicates FD[0] = 3. The final sets of values for RD are shown in Table 4.

D	0
FD[D]	3
RD[D]	1
	1 1 4 4 5 5 (5)

Table 4: showing the calculation of RD[D]

 $P_{\rm L}{=}$  0,  $M_{\rm D}{=}$  0,  $M_{\rm L}{=}$  0,  $M_{\rm E}{=}$  0,  $E_{\rm R}{=}$  0

When the packets sent are in the sequential order i.e. when there is no reordering Percentage of late packets ( $P_L$ ), Mean Displacement of Packets ( $M_D$ ), Mean displacement of late packets ( $M_L$ ), Mean displacement for earliness ( $M_E$ ) and Reorder Entropy are zero. But when there is any reordering then these values will not be zero and will have some distinct value.

#### 3.3 Five Packets with Reorder

Consider the sequence of packets (5,2,3,1,4). The Table 5 and 6 show the computational steps when the RD algorithm is applied to the above sequence.

S	5	2	3	1	4
RI	1	2	3	4	5
D	-4	0	0	3	1
FD[D]	1	1	2	1	1
T-hl. 5					

Table 5: showing the calculation of D and FD[D]

The last row (FD[D]) represents the current frequency of occurrence of the displacement D, e.g., column 3 indicates FD[0] = 2 while column 4 indicates FD[3] = 1. The final sets of values for RD are shown in Table 6.

D	-4	0	1	3
FD[D]	1	2	1	1
RD[D]	0.2	0.4	0.2	0.2

Table 6: showing calculation of RD[D]

```
\begin{array}{l} P_{L}= & 0.2\!+\!0.2\!=\!0.4 \\ M_{D}\!\!=\!(4\!\!\!\!\!\!^{*}\!0.2\!\!\!\!\!\!\!\!\!^{*}\!0.2\!\!\!\!\!\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!0.2\!\!\!\!^{*}\!
```

#### 3.4 Five Packets without Reorder

Consider the sequence of packets (1,2,3,4,5). The Tables 7 and 8 show the computational steps when the RD algorithm is applied to the above sequence.

S	1	2	3	4	5
RI	1	2	3	4	5
D	0	0	0	0	0
	1	2	3	4	5
FD[D]					

Table 7: showing the calculation of D and FD[D]

The last row (FD[D]) represents the current frequency of occurrence of the displacement D, e.g., column 3 indicates FD[0] = 3 while column 4 indicates FD[0] = 4. The final sets of values for RD are shown in Table 8.

D	0
FD[D]	5
RD[D]	1

Table 8: showing the calculation of RD[D]

 $P_{\rm L}{=}$  0,  $M_{\rm D}{=}$  0,  $M_{\rm L}{=}$  0,  $M_{\rm E}{=}$  0,  $E_{\rm R}{=}$  0

When the packets sent are in the sequential order i.e. when there is no reordering Percentage of late packets ( $P_L$ ), Mean Displacement of Packets ( $M_D$ ), Mean displacement of late packets ( $M_L$ ), Mean displacement for earliness ( $M_E$ ) and Reorder Entropy are zero. But when there is any reordering then these values will not be zero and will have some distinct value.

#### **4. SUMMARY TABLES**

In this section we show the table containing the D and RD(D) for different number of packets. D and RD(D) for 3 and 5 number of packets are obtained from the table2 and table6 respectively. Similarly D and RD(D) can be calculated for 8 and 10 packets as shown in Table 9.

3pkts							
1	D	-1	2				
		0.66					
	RD(D)		0.33				
5pkts	D	-4	1	0	3		
• P · · · ·			-	•			
	RD(D)	0.2	0.2	0.4	0.2		
	-					-	
8pkts	D	-2	-1	0	1	2	
	RD(D)	0.125	0.12 5	0.5	0.125	0.125	
10pkts	D	-6	-4	0	2	5	7
	RD(D)	0.1	0.2	0.4	0.1	0.1	0.1
Table 9	· showing	τ the D s	and RI	$D(\overline{D})$	for $\overline{35}$	8 10 na	ckets

Table 9: showing the D and RD(D) for 3,5,8,10 packets

The RD values shown in Table 9 are used to calculate the existing metrics i.e.  $P_L$ ,  $M_D$ ,  $M_L$ ,  $M_E$ ,  $E_R$  for different number of packets as shown in Table 10. Mean displacement of packets is calculated for earliness ( $M_E$ ), lateness ( $M_L$ ) and combination of both ( $M_D$ ).

		P <sub>L</sub>	M <sub>D</sub>	$M_{\rm L}$	$M_{\rm E}$	$E_R$
3	With					
packets	Reorder	0.33	1.32	2	1	0.63
	Without					
	Reorder	0	0	0	0	0
	With					
5	Reorder	0.4	1.6	2	4	1.33
packets	Without					
	Reorder	0	0	0	0	0
	With					
8	Reorder	0.25	0.75	1.5	1.5	1.386
packets	Without					
	Reorder	0	0	0	0	0
	With					
10	Reorder	0.3	2.8	4.6	4.6	1.609
packets	Without					
	Reorder	0	0	0	0	0

Table 10: showing the existing metric values

#### **5. PROPOSED METRIC**

Though many Intrusion Detection Systems are available, they are not suitable to detect the attack in ad hoc network because wireless ad-hoc networks don't have any fixed infrastructure and since almost all of current network based IDS sit on the network gateways and routers and analyze the network packets passing through them, these type of network based IDS are rendered ineffective for the wireless ad-hoc networks [7]. Anomaly Detection models of IDS cannot be used for wireless ad-hoc networks, since the separating line between normalcy and anomaly is obscure. A node that transmits erroneous routing information (fabrication) can be either a compromised or is currently out of sync due to volatile physical movement. Hence in wireless ad-hoc networks it is difficult to distinguish between false alarms and real intrusions. So, we have developed a novel metric for detection of the Jellyfish Reorder attack. The metric is calculated by multiplying frequency and reorder density for all the displacements and then taking their summation i.e.  $\sum$  FD\*RD. Let us analyze this metric for different number of packets in both cases i.e. with reorder and without reorder cases.

#### 5.1 Three Packets with Reorder

With reference to Table 2 there are two Displacement frequencies i.e.2 and 1 and two Reorder density values i.e.0.66 and 0.33.The corresponding displacement frequencies and reorder density are multiplied and then summed which gives a value of 1.65 as shown below.

 $\sum$  FD\*RD = 2\*0.66+1\*0.33=1.65

This value is between 1 and 3 i.e. number of packets.

#### 5.2 Five packets with reorder

With reference to Table 6 there are four Displacement frequencies i.e.1, 1, 2 and 1 and four Reorder density values i.e0.2, 0.2, 0.4 and 0.2. The corresponding displacement frequencies and reorder density are multiplied and then summed which gives a value of 1.4 as shown below.

 $\sum$  FD\*RD = 1\*0.2+1\*0.2+2\*0.4+1\*0.2=1.4

This value is between 1 and 5 i.e. number of packets.

#### 5.3 Three Packets without Reorder

With reference to Table 4 there is only one Displacement frequency value i.e.3 and one Reorder density value i.e.1.The corresponding displacement frequency and reorder density is multiplied and then summed which gives a value of 3 as shown below.

 $\sum FD*RD = 3*1=3$ 

This value is equals to 3 i.e. number of packets

#### 5.4 Five Packets without Reorder

With reference to Table 8 there is only one Displacement frequency value i.e.5 and one Reorder density value i.e. 1 .The corresponding displacement frequency and reorder density is multiplied and then summed which gives a value of 5 as shown below.

 $\sum$  FD\*RD = 5\*1=5

This value is equals to 5 i.e. number of packets.

After analyzing the value of the proposed metric under 2 cases for different number of packets it was found that the value of the metric ( $\sum$ FD\*RD)

i) is greater than equal to one and less than the number of packets when there is reordering.

 $1 \le \text{FD*RD} \le \text{Number of packets}$ 

ii) is always equals to number of packets when there is no reordering.

 $\sum$  FD\*RD=Number of packets

#### 6. COMPARISION OF METRICS

The values of the existing metrics are always zero when there is no reorder whereas the proposed metric value is equals to number of packets when there is no reordering. The calculation of the proposed metric is computationally simple because it involves less calculation i.e. it involves simple algebraic operation of addition and multiplication. So the complexity of the algorithm that calculates this proposed metric for determining reordering is comparatively less when compared to the previous metrics.

		P <sub>L</sub>	M <sub>D</sub>	$M_{\rm L}$	M <sub>E</sub>	E <sub>R</sub>	New Metric $\sum$ <b>FD*RD</b>
	With	0.22	1.20	2	1	0.62	1.65
	Reorder	0.33	1.32	2	1	0.63	1.65
3	Without						
packets	Reorder	0	0	0	0	0	3
	With						
	Reorder	0.4	1.6	2	4	1.33	1.4
5	Without						
packets	Reorder	0	0	0	0	0	5
<b>^</b>	With						
	Reorder	0.25	0.75	1.5	1.5	1.386	2.5
8	Without						
packets	Reorder	0	0	0	0	0	8
	With						
	Reorder	0.3	2.8	4.6	4.6	1.609	2.4
10	Without						
packets	Reorder	0	0	0	0	0	10

Table 11: showing all the metrics for different number ofpackets.

#### 7. CONCLUSION

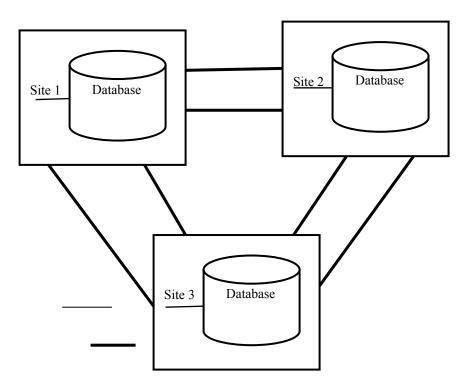
Jellyfish attack is protocol complaint and passive. So it is difficult to detect this attack until after the sting. Though there are existing metrics which can detect the Jellyfish Reorder attack to some extent by incorporating them in the algorithm, but the complexity involved in calculating these metrics increases with the increase in number of packets. The metric that we have proposed to be used in algorithm to detect the Jellyfish Reorder attack is highly effective because the metric is quite simple, efficient and also less time consuming

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# **Replication Strategies in Mobile Environments**

# Salman Abdul Moiz<sup>1</sup> and Lakshmi Rajamani<sup>2</sup>

Abstract - Transaction management in wireless environment poses challenging issues in preserving data consistency and fault tolerance. As the mobile databases are prone to frequent disconnections, bandwidth limitations, mobility, etc. efficient execution of the transaction may not always be guaranteed. Replicating data at several sites is a powerful mechanism which not only increases performance but also provides fault tolerance for demanding database applications. However the major concern is to keep the replicated copies always consistent. In this paper various replication techniques and their applicability in the mobile environments are presented. Based on the requirements of the services provider and the type of execution model used, one of the replication strategies may be implemented.

Index Terms - Mobile Host (MH), Fixed Host (FH), Transaction, Replication, Synchronous, Asynchronous.

#### 1. INTRODUCTION

Mobility gains more and more importance from a technological as well as social perspective. Since network bandwidth is an expensive resource in mobile environments, the transaction processing should reflect a much concern for bandwidth consumption and constraints than non-mobile environments [2].

The transaction requests is initiated at the Mobile Host (MH) but may be partially or completely executed at the Fixed Host (FH). In the traditional environment once the transaction request is initiated by a mobile host, the respective data item is locked at Fixed host. In case of failures or disconnections the system almost halts as there is no replica to proceed with the transaction processing.

Over the years, data replication is considered as a better solution to increase throughput(more replicas can serve more request), decrease response times (distribute the load and access the local replica) and provide fault tolerance[13]. The major challenge with the implementation of replication strategies is to keep the replicas consistent. In addition the mobility introduces several other challenges for the management & maintenance of replicas in a mobile distributed environment.

Several valuable attempts have been presented for efficient implementation of concurrency control and fault tolerance in mobile environments. However each attempt considers only a subset of the operational requirements.

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For example in [9], the author proposes a scheme, to provide non-blocking protocol with restrained communication. It faces the problem of time lag between the local and global commit. In [7] concept of non-conflicting transactions is introduced such that if a conflicting transaction is detected, it may be aborted. The research in [8], proposes Mobile-2PC, which preserves the traditional 2 Phase Commit protocol while minimizing the impact of unreliable wireless communication. Fragmentation of relations is used to support semantic based concurrency control [4]. In [5] distributed lock management scheme is proposed. Most of these techniques presented produces low throughput due to inefficient implementation of replication strategies. However in [3] authors propose a directory structure to identify replicas rather than migrating the replicas. However the frequent mobility needs the directory structure to be updated. In this paper we propose various replication strategies which may be adopted my application providers based on the fidelity & importance of data.

The remaining part of this paper is organized as follows. Section 2 describes the general architectural view of mobile databases. Section 3 specifies the various replication strategies their advantages and challenges. Section 4 specifies mechanism to uniquely identify a record (replica) in the mobile distributed environment. Section 5 describes the proposed replication strategies of mobile environments and the proposed solutions for the conflict resolution. Section 6 concludes the paper.

#### 2. MOBILE DATABASE ENVIRONMENT

The mobile computing environment generally consists of three entities Fixed Host (FH), Mobile Units (MU) and Base Stations (BS) respectively. Terminals, desktop, servers are the Fixed Host, which is interconnected by means of a fixed network.

Large databases can run on servers that guarantee efficient processing and reliable storage of database. Fixed hosts perform the transaction and data management functions with the help of data base servers (DBS). Mobile units are the portable computers which can retain the network connections through the support of the Base Stations (BS).

Mobile clients may vary from the thin to full clients based on their characteristics.

- 1. Thin client architecture: In this organization, the resources of the mobile clients are limited. The mobile client takes the request from the user and the execution of the transaction is done at the fixed host.
- 2. Full client architecture: In this architecture, clients can work in the disconnected mode. Full clients own the responsibility of server functions. They are portable and have enough resources for execution of an application.

In addition to the thin or full client architecture, transactions initiated by the mobile clients may also work on the Flexible client server architecture or the Client agent server architecture. In the flexible client server architecture the roles of client and servers can be dynamically relocated. In order to increase the throughput of the system, the distinction between clients and servers may be temporarily blurred.

In the client-agent-server architecture, a three tier model introduces an agent similar to a proxy located on the fixed network [1]. In this paper we deal with the crash recovery mechanisms for the thin client and the full client architectures respectively.

#### **3. REPLICATION STRATEGIES**

Data Replication is the process that allows building a distributed environment through the management of multiple copies of data, caching one copy on each site. Replication however has the challenges of the replication control. Changes submitted to one replica have to be applied at the other replicas such that the different copies of the database remain consistent despite concurrent updates.

In general, there are two types of replication strategies: Synchronous and Asynchronous replication. In *Synchronous replication* (real time data replication [6]), performs updates on all replicas at the same time. In *Asynchronous replication* (store and forward replication [6]), operations performed on one site is stored locally and later on it is updated to other replicas.

Synchronous replication technology ensures highest level of data integrity but requires permanent availability of participating sites and transmission bandwidth. If one of the participating site holding a replica is not ready the transaction may not proceed. This scheme needs more resources but the replicas will be consistent at any instance of time. Asynchronous replication provides more flexibility than synchronous replication as the database synchronization time interval can be defined which can vary between the applications and from one service provider to another. Moreover a single site could work even if a remote server is not reachable or down.

As disconnections in mobile environments are treated as normal conditions rather than failures, asynchronous replication is better suited. If the mobile host holding a replica is disconnected for a longer time then in synchronous replication the transaction can't proceed unless the mobile host is connected. However techniques described in [11] may help in synchronous replication but it takes more time to keep the consistent copies of the data. Comparatively though asynchronous replication is better suited, efficient concurrency control and fault tolerance mechanisms are to be deployed. A Concurrency control algorithm proposed [10] is better suited for the implementation of concurrency control in mobile environment when the sites are updated based on asynchronous replication policy.

Based on the mobility, there are two approaches to the replication of data in mobile environments. In the first approach the data may be replicated at both the mobile host and the fixed host. Whenever the updates are preformed at mobile host (mobile client) the same has to be consistent with the data on fixed host (mobile server). If Synchronous replication is adopted both the mobile host and fixed host must be in

connected mode at least when the updates on replicas are performed. The concurrency control can be effectively implemented using the dynamic timer management mechanism discussed in [10]. Since mobile hosts are prone to disconnections asynchronous replication can be adopted. The replication control is done at the client side. When the mobile host is in disconnected mode and a client replica is updated. These updations has to be performed on the fixed host within the specified time interval (this time interval differs from application).

The second approach to the replication deals with mobility. In this mechanism the MSS will maintain the replicas i.e it maintains the list of sites were replicas are present in home location as well it forwards one of the replica to remote location. If any update is performed by the replica in foreign location, the updates are conveyed to MSS which updates the replicas present in that cell.

#### 4. IDENTIFICATION OF REPLICAS

In replicated environment, any database instance my contain the local data or it could be instance of another replica. In this section we followed the approach of Cavelleri et al[6] to distinguish the local site information and the replicated information of other sites.

Any table at any site either mobile host or fixed host can be represented in the following form:

$$T_{a, i} = P_{a, i} u U_{j=1,...N, J \neq i} R_{a,j,i}$$
  
where

a identifies a generic table of the database

i identifies the site (Mobile host or/and fixed host)

T a, i is the entire content of table a on site i

 $P_{a, i}$  is the information entered in table a on site i.N is the number of participating sites i.e no of sites where the data is replicated.

 $R_{a,j,i}$  is the replicated partitions of table a coming from site j cached into site i

Each site (Mobile host and Fixed host) is uniquely identified by site-id. P<sub>a, i</sub> and R<sub>a,j,i</sub> are partitions of table T<sub>a, i</sub>. When an insertion occurs in table a on local site i the information is stored in local partition P<sub>a, i</sub> and later forwarded to every replicated partition R<sub>a,j,i</sub> of each remote site j.

Local and replicated sites could be the mobile host and fixed host or it could be one cell to another cell. To identify a record as to whether it is a local or remote partition site record ids are used i.e record id along with the site id will identify a particular record. This mechanism of record identification helps in knowing the origin of a record thereby reducing the overhead of directory structure to be maintained by MSS as discussed in[3].

Consider the following Table stored at site 1, which has local partitions as well as remote partitions. It has three fields A,B,C and the first field specifies the record id (site id + record id). The remote partitions for the purpose of updating of data can be identified using this identifier

Record_Id	Α	В	С
(1,1)	10	20	30
(2,1)	23	34	56
(1,3)	33	12	21

Table 1: Schema representation using composite record id

The first two records belong the the site 1 and their record ids are 1, 2 respectively. The third record belongs to site 3 whose record id is 1. If an updation is performed for the third record on data item C. Apart from updating new value of C. The first record and partition 3 will also be updated. This is identified by composite record id.

#### 5. MOBILE DATA OWNERSHIP MODELS

Data ownership models specifies various mechanisms that can be adopted to keep the replicas consistent. These mechanisms may be adopted based on different applications implemented in mobile environments. There are different types of Data ownership models viz., Workload partitioning data ownership model, Master/Slave data ownership model and Update anywhere datownership model. The applicability of these models in mobile environments is discussed below:

#### 5.1 Workload Partitioning data ownership Model

This model is implemented by read only access to  $R_{a,j,i}$  and read write access to  $P_{a,i}$ . The replicated partition of table from site j to will only have read access at site i. In this scheme all replicas can only read the information coming from remote partition. It can preform the write operation if the data item belongs to the local site.

If the mobile host needs to update the data item stored at some fixed host, the write operation has to be performed at fixed host. Even though a replica need to update the data item but since it has read only access the write operation has to be done at only local site for that data item. This mechanism preserves the integrity of the data as the updations to the data item is done at only one site.

If the mobile host moves from one cell-1 to cell-2 and if a write operation has to be performed on a data item then the updates are performed only in cell-1 as the fixed host of that mobile host is in cell-1.

#### 5.2 Master/Slave Data Ownership Model

Master/Slave replication is an asynchronous replication where data is owned by one site (owner) and can be updated by only that site. It follows publisher-subscriber pattern. The other sites who own only read only data are slaves (subscribers).

In this approach whenever a data item has to be updated, the owner is identified using the composite record id scheme (record id + site id) so that the request for the update is given to the respective site and then the site updates the data items. However the site i where updations to the database item is performed has to propagate these updates to all replicas i.e all the slaves need to know the final value of the data item. This is similar to the Thin client architecture of the mobile environment where the mobile host requesting for a service send the request to the fixed host.

When a mobile host moves from once cell to another. The request for write operation for a particular data item may have to sent back to the home cell if the subscriber is in home cell. Concurrency control and failure tolerance is easily implemented as the udpations are done by only one site[10].

#### 5.3 Update Anywhere Data Ownership Model.

In Update Anywhere Data Ownership Model, read write access is available to  $R_{a,j,i}$  and  $P_{a,i}$ . This leads to replication conflicts because any mobile host or fixed host where the replica is present, a write operation on the data item can be performed. The database can be kept consistent by implementing efficient concurrency control techniques for mobile environments [5,10]. However these techniques differ from one replication strategy to another.

In Synchronous replication log based scheme[12] is implemented as the replicas need to be consistent all the time. Whereas in synchronous replication triggers are used to update the replicas after firing of events. The mobility issue can be managed by transfer of log information from one cell to another[10].

#### 6. CONCLUSION

Replicating data at several sites is a powerful mechanism to increase the performance, throughput and can provide fault However unlike synchronous tolerance. replication. asynchronous replication may not keep the database consistent at every moment. If this time lag can be compromised it may require less resources. Further the problem of storage of log on the mobile will not be an issue. Based on the volume of replication and the number of transactions respective ownership models may be chosen. However update anywhere ownership model can make the replication strategies in increasing the throughput but has to be implemented as synchronous replication as any site can update data at any time. When the replication increases, performance will be an issue. Methodologies for the management of huge replicas are needed.

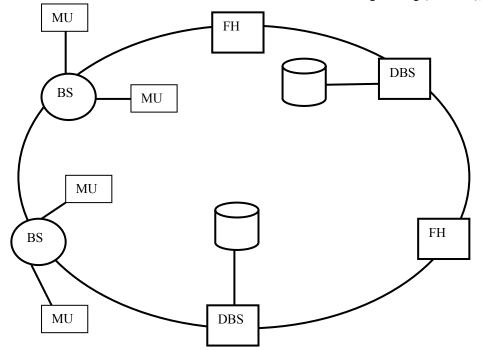
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**Figure 1: Architectural View of Mobile Environment** 

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## Management Information System in Indian Universities: A Comparative Study

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Abstract - The role of IT in development has been acknowledged worldwide and is expected to bring in major social and economic benefits for the mankind. In the present IT era, like every organization, the management of universities is a key challenge particularly in developing countries like India. Effectiveness of university depends upon its ability to maintain itself internally and adapt to new and dynamic environment. Computerization of universities may substantially improve response and efficiency and ensure savings in terms of time, money and other scarce resources. The present study aims to bring out the status of Management Information System in Indian Universities in terms of the adoption of IT in various functional areas, various issues facing the effective utilization of IT and the level of understanding about MIS among service providers in the University system. The study is based on primary data, which has been collected through a well-designed structured questionnaire from two Central Universities and two State Universities. The data so collected was analyzed to test various hypotheses with the help of SPSS software. Based upon the structural analysis inferences have been drawn which will help University administration to re-engineer their services to make them more effective and efficient.

Index Terms - Information technology (IT), Management Information System (MIS), University Administration, Internet, Intranet, Website, AMC.

#### **1. INTRODUCTION**

This paper presents analytical framework for the research study. It throws light on the status of Management Information System in Indian Universities in terms of the adoption of IT in various functional areas, various issues facing the effective utilization of IT and the understanding about MIS among service providing personnel of the University system.

#### 2. UNIVERSITY AS AN ORGANIZATION

University is defined as a body of academic people engaged in the pursuit of academic matters. [1] Universities in present day socio-economic milieu have assumed great importance. A university as an organization consists of teachers, researchers, students, administrators and various sub systems such as examination, finance, personnel, stores, maintenance, planning, etc. [Fig. 1]. In a service organization like a university, the organizational structures is made up of inter-related and interdependent parts, and one part or subsystem cannot perform effectively without the other. [2]

<sup>2</sup>Department of Business Management, GJUS&T, Hisar <sup>3</sup>University School of Management Studies, GGSIPU, New Delhi **3. MANAGEMENT IN UNIVERSITIES** The University authorities have to perform academic as well as management functions. Some of them are of strategic nature and others are routine. Although university organization has men, machines, materials and money, yet the characteristics of their participants differ from other organizations and therefore, their decision making processes are unique or different in some respects. Their effective management is essential for optimal utilization of resources and for providing maximum benefits and satisfaction to its clientele. [3]

The day-to-day operations of a university System, involve handling of vast quantities of information. Multiplicity of functioning leads to information flow that is highly variable in content, format and importance. The present day set up presents manual collection and retrieval of information that is not only in itself a mammoth exercise but also a time consuming affair. Further, the administration is lesser effective and deficient because of the overburdened resources and facilities. To add to the problem, there is a voluminous paper work to be handled which often means non-availability of related data in time. There are delays in getting the data, which cannot be stored and easily analyzed. Therefore, the universities need to be organized and administered in a truly scientific manner utilizing modern management techniques and tools that are being used in other organizations. It has been observed that the educational institutions generally do not utilize managerial tools for decision making such as computers though they are available physically in the institutions. However, they are commonly found in industry and are being used frequently.

# 4. ADOPTION OF INFORMATION TECHNOLOGY AS A TOOL

Administrative computing is assuming an ever increasing role as the demands being placed on universities escalate, increasing number of students. As computing costs decline and methods change, universities must develop planning strategies to ensure that the new technologies and procedures are employed effectively while, at the same time, meeting the fundamental goals of the institution. Although computers can play a significant role in university administration, yet sometimes there is reluctance to adopt them because of a variety of reasons and misconceptions about computers. Nevertheless the wise and careful use of computers and Information technology can help maintain quality while keeping the costs in control in today's highly competitive environment. The rapid availability of complete information can result in savings in expenses. Therefore, all functional areas should be inter-linked so that data entered into the system from any of these points may be accessible and used by all concerned. Today the computerized MIS has been accepted as an integral part of a modern university system. [4]

The primary reasons that demand the introduction of computers so as to strengthen the information system are: to increase organizational efficiency through reduction in the overall costs; to provide useful, accurate, complete and timely information to meet the requirements of the various departments requiring such data; to improve managerial effectiveness in planning, allocating and controlling the scarce and expensive resources of the organization; to improve and ensure high quality of service at a reasonable cost; improve the management information system and to reduce clerical workload.[5]

#### 5. RESEARCH OBJECTIVES

The objectives of this paper are:

- To study the status of computerization in the Universities.
- To understand the extent of information availability on the website.
- To identify the desired features in a University MIS and
- To study the linkage between various key factors involved in the information collection and dissemination process in the University.

#### 6. RESEARCH METHODOLOGY

The study is based on primary data, which has been collected through a well-designed structured questionnaire. The sample comprise of all the administrative branches of the university, Directorate of Distance Education, University Library and various teaching departments of Central and State Universities. To begin with, a list of branch officers like Heads of the departments/Chairmen, Director, Librarian, Deputy Registrars, Assistant Registrars, and Section officers/Superintendents etc. was prepared and consequently the sample was selected by using Convenience/Purposive sampling technique. The survey was conducted through face-to-face interview method. The data has been put to analysis by using method of percentages. Apart from this, the use of Chi-square test and correlation analysis has been made for measuring the association between various attributes. The study analyses the result from the survey and reveals what is the status of Management Information System in Indian Universities.

#### 7. DATA ANALYSIS

The following results were revealed after analyzing data

#### 7.1 General Analysis

#### 7.1.1 Status of Computerization

		Frequenc	Percent		Cumulativ
		у		Percent	e
					Percent
Valid	Yes	154	77.8	78.2	78.2
	No	43	21.7	21.8	100.0
	Total	197	99.5	100.0	
Missing	0	1	.5		
Total		198	100.0		

If Yes, Functioning Has Improved By Using Computers

		Frequency	Percent	Valid Percent	Cumulative Percent
Valid	Yes	155	78.3	100.0	100.0
Missing	0	43	21.7		
Total		198	100.0		
If No, Can Functioning Improved By Using Computer					
		Frequency	Percent	Valid	Cumulative
				Percent	Percent
Valid	Yes	42	21.2	97.7	97.7
	No	1	.5	2.3	100.0
	Total	43	21.7	100.0	
Missing	0	155	78.3		
Total		198	100.0		

A reasonably high, 78% respondents indicate that they make use of computers for performing their work while 22% says they do not use computers. However there is a complete unanimity among all the respondents that computer has and can improve the administrative functioning and improve the work performance.

		Frequ	Perc	Valid	Cumulative
		ency	ent	Percent	Percent
Valid	MS Office	136	68.7	87.7	87.7
	Others	2	1.0	1.3	89.0
	MS Office and Others	17	8.6	11.0	100.0
	Total	155	78.3	100.0	
Missing	0	43	21.7		
Total		198	100.0		

#### Table 2: Type of Licensed Software

The only licensed software available with the institutions is MS Office, which is loaded in all computers. There is almost no other application software available for serving various specific tasks in the universities.

		Frequ	Perce	Valid	Cumulative
		ency	nt	Percent	Percent
Valid	Stand alone mode	116	58.6	74.8	74.8
	Network	34	17.2	21.9	96.8
	Stand alone mode and Network	5	2.5	3.2	100.0
	Total	155	78.3	100.0	
Missing	g 0	43	21.7		
Total		198	100.0		

#### Table 3: Is Computer Connected

About 75% respondents working on stand-alone mode while 22% respondents say that their computers are part of the network.

		Frequenc y			Cumulative Percent
Valid	Yes	27	13.6	20.0	20.0
	No	108	54.5	80.0	100.0
	Total	135	68.2	100.0	

Missing 0 63 31.8			Frequenc y		Cumulative Percent
Total 198 100.0	Missing	0	63	31.8	
100.0	Total		198	100.0	

Table 4: Using Customize Software In The Working

A high, 80% respondents are not using customized software in educational institutions while just 20% are using customized softwares which is very low. The restricted access appears to be mainly on account of high cost of customized software and lack of awareness of available customizes software in education sector.

		Frequency	Percent	Valid	Cumulative
				Percent	Percent
Valid	Yes	129	65.2	85.4	85.4
	No	22	11.1	14.6	100.0
	Total	151	76.3	100.	
Missing	0	47	23.7		
Total		198	100.0		

**Table 5: Requirement of Computer Skilled Manpower** 

About 86% respondents required computer skilled person so that working of their branch will be more effective and they can use resources efficiently while 15% respondents doesn't require computer skilled person which seems to be on account of natural human tendency i.e. fear of loss of power.

7.1.2 Availability of information on Internet

.1.	1.2 Availability of information on internet											
				Frequer	ncy	Perc	ent	V	alid	Сı	imulative	
								Pe	ercent	Pe	ercent	
	Valid		Yes	70		35.4		36	5.8	36	.8	
			No	120		60.6		63	5.2	10	0.0	
			Total	190		96.0	)	10	0.0			
	Missir	ıg	0	8		4.0						
	Total			198		100.						
	Total					0						
_			Freq	uency of								
					I	Frequ	Per				Cumulativ	ve
					e	ency	ent		Perce	nt	Percent	
Va	alid	A	s And	When It	Is4	45	22.		70.3		70.3	
		Re	equire	d			7					
		W	ith In	A Week	2	1	2.0		6.3		76.6	
		W	ith In	A Month	1 4	1	2.0		6.3		82.8	
		Μ	ore	Than	A	11	5.6		17.2		100.0	
		Μ	onth									
		Τc	otal		e	54	32.	3	100.0	)		
Μ	issing	0			]	134	67.	7				
Тс	otal				]	198	100	0.0				
		]	s Info	ormation	10	n Inte	rne	t E	Benefi	icia	al	
					F	reque	Pe	rc	Valio	ł	Cumulati	ve
					n	cy	en	t	Perce	ent	Percent	
Va	lid	Hi	ghly E	Beneficial	6	5	32	.8	56.5		56.5	
		Mo	oderat	ely	3	6	18	.2	31.3		87.8	
		Be	nefici	al								
		No	t Ben	eficial	1	4	7.1		12.2		100.0	

		Freque	Perc	Valid	Cumulative
		ncy	ent	Percent	Percent
	Total	115	58.1	100.0	
Missing	0	83	41.9		
Total		198	100.0		

 Table 6: Availability of Information on Internet

Majority of the respondents (63%) say that information is not available on university website however, 37% respondents accept they get some of the information from university website. Among respondents who says information is available on Internet 70% respondents say it is updated whenever new information is there while 30% says it is updated quite late even after more than a month. The respondents who say they are not able to get information from Internet among them, a large number of respondents i.e. 57% agree on the issue that information is beneficial if it is available on Internet, as it would save their time and energy.

7.1.3	Efficiency	and	Effectiveness	expected	from	a
	computeriz	ed M	IS			

	computerized M	10			
		Frequen	Perc	Valid	Cumulative
		cy	ent	Percent	Percent
Valid	Yes	166	83.8	89.2	89.2
	To Some Extent	18	9.1	9.7	98.9
	No	2	1.0	1.1	100.0
	Total	186	93.9	100.0	
Missing	0	12	6.1		
Total		198	100.0		
Compu	iterized MIS Wil	Reduce	d Uni	versity I	Expenditure
		Frequen	Perce	Valid	Cumulative
		cy	nt	Percent	Percent
Valid	Yes	149	75.3	78.4	78.4
	To Some Extent	34	17.2	17.9	96.3
	No	7	3.5	3.7	100.0
	Total	190	96.0	100.0	
Missing	0	8	4.0		
Total		198	100.0		
Fast	er Decision-Maki				ized MIS
		Frequen	Perce	Valid	Cumulative
		су	nt	Percent	Percent
Valid	Yes	153	77.3	79.7	79.7
	To Some Extent	35	17.7	18.2	97.9
	No	4	2.0	2.1	100.0
	Total	192	97.0	100.0	
Missing	0	6	3.0		
Total		198	100.0		
Table	7: Computerized	I MIS W	ill Str	eamline	University

 Table 7: Computerized MIS Will Streamline University

 Functioning

There is a high level of agreement among respondents regarding the positive effects of computerized MIS. The table 7 reveals very emphatically that a computerized MIS would streamline University functioning, reduce expenditure, ensure better and faster decision-making as well as help in checking mal-practices.

#### 8. STATISTICAL ANALYSIS

#### 8.1 Cross Tabulation

8.1.1 The null hypothesis that working on computer and University type are disassociated.

			Univ	University			
			State	Central			
			University	University	Total		
Working On	Yes	Count	61	93	154		
Computer							
In The Branch		%	58.7%	100.0%	78.2%		
	No	Count	43	0	43		
		%	41.3%	.0%	21.8%		
Total		Count	104	93	197		
		%	100.0%	100.0%	100.0%		

#### **Chi-Square Tests**

			Asymp.	Exa	act	Exact
			Sig.	Sig		Sig.
	Value	df	(2-sided)	$(2-s)^{-1}$	sided)	(1-sided)
Pearson Chi-Square	49.188	1	.000			
Continuity	46.795	1	.000			
Correction						
Likelihood Ratio	65.694	1	000			
Fisher's Exact Test				.00	0	.000
Linear-by-Linear	48.939	1	.000			
Association						
N of Valid Cases	197					
	Symme	etri	c Measur	es		•
	-		Value	~	A	

		Value	Approx. Sig.
Nominal by	Phi	500	.000
Nominal	Cramer's V	.500	.000
N of Valid Cases		197	

Table 8: Working On Computer in the Branch

Applying Pearson Chi-Square test, the value of asymptotic significance (2-sided) with 1 degree of freedom is 0.000 which is less than 0.01(at 99% level of significance), so null hypothesis is rejected means there is significant association between the variables. In the symmetric table Cramer's V is 0.500, which shows these variables have a strong association. It is evident from the table 8 that only 58.7% respondents from State Universities are using computers for their work as compare to 100% respondents of Central Universities.

# 8.1.2 The null hypothesis that the variables Qualification of the respondents and Knowledge about the term MIS are disassociated.

		Q	ualificatio	n	
		Gradua	Post	Ph.D	Total
		te	Graduate		
Knowledge Yes About The	Count % within	25	65	30	120

			Q	ualificatio	n	
			Gradua	Post	Ph.D	Total
			te	Graduate		
Term MIS		Knowledge				
		About The	20.8%	54.2%	25.0%	100.0%
		Term MIS				
		% within	53.2%	70.7%	76.9%	67.4%
		Qualification				
	No	Count	22	27	9	58
		% within	37.9%	46.6%	15.5%	100.0%
		Knowledge				
		About The				
		Term MIS				
		% within	46.8%	29.3%	23.1%	32.6%
		Qualification				
Total		Count	47	92	39	178
		% within	26.4%	51.7%	21.9%	100.0%
		Knowledge				
		About The				
		Term MIS				
		% within	100.0%	100.0%	100.0	100.0%
		Qualification			%	

#### **Chi-Square Tests**

	Value		Asymp. Sig. (2-sided)
Pearson Chi-Square	6.372	2	.041
Likelihood Ratio	6.242	2	.044
Linear-by-Linear Association	5.710	1	.017
N of Valid Cases	178		

#### **Symmetric Measures**

Nominal byPhi.189.041NominalCramer's V.189.041N of Valid Cases178			Value	Approx. Sig.
	Nominal by	Phi	.189	.041
N of Valid Cases 178	Nominal	Cramer's V	.189	.041
	N of Valid Cases		178	

Table 9: Knowledge About the Term MIS vs Qualification

Applying Pearson Chi-Square test, the value of asymptotic significance (2-sided) with 2 degree of freedom is 0.041 which is less than 0.05 (at 95% level of significance), so null hypothesis is rejected hence the variables are associated. It means qualifications of the respondents make difference about the knowledge of the term MIS in Central and State University. It is apparent from the table that Cramer's V is 0.189 which shows these variables have a weak association.

However at 99% level of significance the null hypothesis is accepted because the value of significance is 0.041, which is grater than 0.01.

# 8.1.3 The null hypothesis that there exists no association between Qualification and level of understanding of the term MIS.

Qualific		
Grad Post	Ph,D	Total

				Qualification			
				uate	Graduate		
Level		Count		1	20	7	28
of	Good						
Under		%	within	3.6%	71.4%	25.0%	100.0%
standi		Level	of				
ng		Underst	anding				
		%	within	4.0%	30.8%	23.3%	23.3%
		Qualific	ation				
	Good	Count		8	23 56.1%	-	41
		%	within	19.5%	56.1%	24.4%	100.0%
		20101	01				
		Underst	anding				
		%		32.0%	35.4%	33.3%	34.2%
		Qualific	ation				
		Count			20	-	43
	um	%	within	34.9%	46.5%	18.6%	100.0%
		Level of	-				
		Underst					
		%		60.0%	30.8%	26.7%	35.8%
		Qualific	ation				
		Count		1	2	5	8
		%	within	12.5%	25.0%	62.5%	100.0%
		Level of					
		Underst					
		%		4.0%	3.1%	16.7%	6.7%
		Qualific					
Total		Count %		25	65		120
				20.8%	54.2%	25.0%	100.0%
		Level of					
		Underst	anding				
		%			100.0%	100.0%	100.0%
		Qualific	ation	%			

#### **Chi-Square Tests**

	Value	df	Asymp Sig. (2-sided)
Pearson Chi-Square	16.880	6	.010
Likelihood Ratio	17.29	6	.008
Linear-by-Linear	.90	1	.341
Association	5		
N of Valid Cases	120		

#### **Symmetric Measures**

		Valu	e Approx. Sig.
Nominal by	Phi	.375	.010
Nominal	Cramer's V	.265	.010
N of Valid Cases		120	)

#### Table 10: Level of Understanding Vs. Qualification

Applying Pearson Chi-Square test, the value of asymptotic significance (2-sided) with 6 degree of freedom is 0.01 which is less than 0.05 (at 95% level of significance), so null hypothesis is rejected means there is significant association

between the variables. In the symmetric table Cramer's V is 0.265, which shows these variables have a weak association. Among respondents about 71% postgraduate respondents having very good understanding of the term MIS while 60% of graduate respondents having a medium level of understanding.

# 8.1.4 The null hypothesis Qualification of the respondents and level of satisfaction from maintenance arrangement has no relationship.

			ualificati		ſ
		Gradua	Post	Ph.D	Total
		te	Graduat		
Level of Ye	es Count	18	41	14	73
Satisfac	% within Leve	124.7%	56.2%	19.2%	100.0%
tion	of Satisfaction	ı			
From	From				
Mainten	Maintenance				
ance	Arrangement				
Arrange	% within	146.2%	55.4%	36.8%	48.3%
ment	Qualification				
No	o Count	21	33	24	78
	% within Leve	126.9%	42.3%	30.8%	100.0%
	of Satisfaction	ı			
	From				
	Maintenance				
	Arrangement				
	% within	153.8%	44.6%	63.2%	51.7%
	Qualification				
Total	Count	39	74	38	151
	% within Level	25.8%	49.0%	25.2%	100.0%
	of Satisfaction				
	From				
	Maintenance				
	Arrangement				
	% within	100.0%	100.0%	100.0%	100.0%
	Qualification				

#### Chi-Square Tests

	Value	df	Asymp. Sig. (2-sided)
Pearson Chi-Square	3.566 <sup>a</sup>	2	.168
Likelihood Ratio	3.595	2	.166
Linear-by-Linear	.639	1	.424
Association N of Valid Cases	151		

# Table 11: Level of Satisfaction from Maintenancearrangement Vs. Qualification

a. 0 cells (.0%) have expected count less than 5. The minimum expected count is 18.37.

Applying Pearson Chi-Square test, the value of asymptotic significance (2-sided) with 2 degree of freedom is 0.168 which is higher than 0.05 (at 95% level of significance), so null hypothesis is found to be significantly good i.e. there is no relationship between the variables. Among the respondents

who are satisfied from maintenance arrangement about 76% respondents are of above graduation level.

# 8.1.5 The null hypothesis the Qualification of the respondents and type of change required in present maintenance arrangement are disassociated.

Refer Table 12 at the end

Applying Pearson Chi-Square test, the value of asymptotic significance (2-sided) with 4 degree of freedom is 0.171 which is larger than 0.05 ( In all the qualification level, a large number of respondents (61.9%, 58.1% and 37% respectively) required training of their own staff to maintain the systems, which shows they do not want to dependent on the outside agencies. **9. CORRELATION 9. CORRELATION 1.** Requirement Non of Computer Availabilit Skilled y

8.1.6 The null hypothesis that respondents have under gone any training for using computer and age are independent.

			Age			
			< 35	35-50	> 50	Total
			years	Years	Years	
Has	Yes	Count	12	37	42	91
Under		% within Has	13.2%	40.7%	46.2%	100.0%
Gone		Under Gone				
Any		Any Training				
Trainin		for Using				
g For		Computer				
Using		% within Age	54.5%	67.3%	62.7%	63.2%
Compu	No	Count	10	18	25	53
ter		% within Has	18.9%	34.0%	47.2%	100.0%
		Under Gone				
		Any Training				
		For Using				
		Computer				
		% within Age	45.5%	32.7%	37.3%	36.8%
Total		Count	22	55	67	144
		% within Has	15.3%	38.2%	46.5%	100.0%
		Under				
1		Gone Any				
		Training				
		For Using				
1		Computer				
1		% within Age	100.0%	100.0%	100.0%	100.0%

#### **Chi-Square Tests**

	Value	df	Asymp. Sig. (2-sided)
Pearson Chi-Square	1.108 <sup>a</sup>	2	.575
Likelihood Ratio	1.096	2	.578
Linear-by-Linear Association	.139	1	.709
N of Valid Cases	144		

Table 13: Has Under Any Training for Using Computer Vs.Age

a. 0 cells (.0%) have expected count less than 5. The minimum expected count is 8.10.

Applying Pearson Chi-Square test, the value of asymptotic significance (2-sided) with 2 degree of freedom is 0.575 which is greater than 0.05 (at 95% level of significance), so null

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hypothesis is accepted i.e. age does not make a difference whether a university employee has under gone any training. A very low, about 13% of respondents in the age group of Less than 35, have under gone training for using computers in their sections/departments. Such a low percentage indicates that the younger group is computer savvy so they not required any training.

9. COR	RELATION			-
			Requirement	Non
			of Computer	Availabilit
			Skilled	У
			Manpower	of Trained
				Manpower
Spearma	Requirement	Correlation	1.000	.181 *
n's rho	of Computer	Coefficient		
	Skilled	Sig. (2-tailed)		.028
	Manpower			
		Ν	151	148
	Non	Correlation	.181 *	1.000
	Availability	Coefficient		
	of Trained			
	Manpower	Sig. (2-tailed)	028	

 Table 14: Correlation between Requirement of Computer

 Skilled Manpower & Non Availability Of Trained

 Manpower

148

192

\* Correlation is significant at the 0.05 level (2-tailed). Applying Spearman's correlation the value of correlation coefficient is 0.181 which is significant at the 95% level of significance, therefore the two variables i.e. requirement of computer skilled manpower and non availability of trained manpower are positively correlated. The problem of non availability of trained manpower indicates that there is a requirement of computer skilled manpower in the sections.

	p			
			Having	Non
			Sufficient	Availability
			Software	of Relevant
			for	Software
			Working	
Spearma	Having	Correlation	1.000	.291**
n's rho	Sufficient	Coefficient		
	Software For	Sig. (2-tailed)		.000
	Working	N	155	152
	Non	Correlation	.291 **	1.000
	Availability	Coefficient		
	of Relevant	Sig. (2-tailed)	.000	
	Software	, ,		
		Ν	152	159

Table 15: Correlation between Having Sufficient Softwarefor Working & Non Availability of Relevant Software\*\* Correlation is significant at the 0.01 level (2-tailed).

Applying Spearman's correlation the value of correlation coefficient is 0.291 which is significant at the 99% level of significance; therefore the two variables i.e. having sufficient

software for working and non availability of relevant software are positively correlated. The problem of non availability of relevant software is exists in the section because they are not having sufficient software for their working.

			Is	Inade
			Requirement	quate
			of More	Comp
			Computers	uters
Spearm	Is Requirement	Correlation	1.000	.372*
an's rho	of	Coefficient		*
	More	Sig. (2-		.000
	Computers	tailed)		
		N	152	143
	Inadequate	Correlation	.372**	1.00
	Computers	Coefficient		0
	-	Sig. (2-	000	
		tailed)		
		N	143	152

 Table16: Correlation between Is Requirement of More

 Computers & Inadequate Computers

\*\*. Correlation is significant at the 0.01 level (2-tailed).

Applying Spearman's correlation the value of correlation coefficient is 0.372 which is significant at the 99% level of significance, therefore the two variables i.e. requirement of more computers and inadequate number of computer are positively correlated. The problem of inadequacy of computers indicates that there is a requirement of more computers in the sections.

#### **10. FINDINGS & CONCLUSIONS**

The above data analysis and the subsequent study carried out gives rise to the following findings:

- 1. The adoption of computers for various applications has been found to be higher in Central Universities as compared to State Universities. [Table 8].
- 2. The Universities at present are not using any custom made software for any of the applications. Instead, end users are making use of standard general purpose packages and have developed small applications to meet their day-to-day requirements. [Table 2].
- 3. The computer networking has not been adopted fully thereby limiting the data sharing and exchange in the university and restricting its usage substantially. [Table 3].
- 4. The existing practice of system maintenance requires substantial improvements. [Table 12].
- 5. The website contents require to be updated dynamically on real-time basis to ensure currentness of the information on the website. Further the website should contain meaningful features to reduce the physical visits of the users for various activities. [Table 6].
- 6. The need and relevance of MIS in University system should be percolated to the lowest level (service providers). This will help in ensuring that all users confirm and adhere to the system requirements as far as data preparation is concerned. [Table 10].

- 7. There is a strong need to setup a central computing facility in the university to carryout the above tasks and should own the system for its success. In addition, every user section should assign a coordinator to ensure proper coordination of the MIS services with the Central facility. [Table 14].
- 8. Regular orientation/awareness programmes must be conducted periodically to empower the service providers/users to ensure effective utilization of the system.

#### **11. CONCLUSIONS**

The central universities are better placed in terms of adoption of Information technology for various functions. There is an urgent need to focus attention of various factors such as: availability of custom-made application software for optimum hardware investment, proper networking and maintenance, with strong integrated information system approach rather than compartmentalized applications with adequate skilled manpower support.

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						Qualification		
					Graduat	Post	Ph.D	Total
					e	Graduate		
Type Of C	Change	AMC	Count		4	12	7	23
	resent		% within Type of C	Change Required	in17.4%	52.2%	30.4%	100.0%
Maintenance			Present Maintenance Ar	rangement				
Arrangement			% within Qualification		19.0%	27.9%	25.9%	25.3%
	ſ	Training o	f Count		13	25	10	48
		The Own	n % within Type of C	Change Required	in27.1%	52.1%	20.8%	100.0%
		Staff	Present Maintenance Ar	rangement				
			% within Qualification		61.9%	58.1%	37.0%	52.7%
		Visit Basis -	Count		4	6	10	20
		Parts	% within Type of C	Change Required	in20.0%	30.0%	50.0%	100.0%
			Present Maintenance Ar	rangement				
			% within Qualification		19.0%	14.0%	37.0%	22.0%
Total			Count		21	43	27	91
			% within Type of C	Change Required	in23.1%	47.3%	29.7%	100.0%
			Present Maintenance Ar	rangement				
			% within Qualification		100.0%	100.0%	100.0%	100.0%
Chi-Square Tests							_	
		Va	llue	df	Asymp	. Sig. (2-sided)		
Pearson Chi-Square		6.4	407 <sup>a</sup>	4	.171			

	Value	df	Asymp. Sig. (2-sided)
Pearson Chi-Square	6.407 <sup>a</sup>	4	.171
Likelihood Ratio	6.269	4	.180
Linear-by-Linear	.451	1	.502
Association	.431	1	.302
N of Valid Cases	91		

 Table 12: Type of Change Required in Present Maintenance Arrangement Vs. Qualification

 a. 1 cells (11.1 %) have expected count less than 5. The minimum expected count is 4.62.

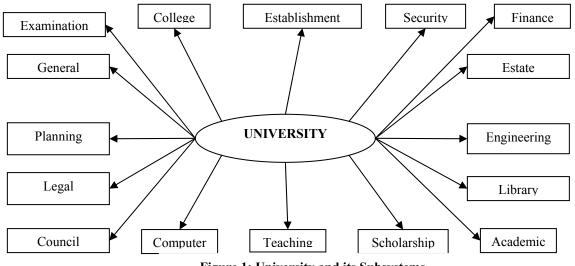


Figure 1: University and its Subsystems

# Evolutionary Analytics on Lysosomal Associated Membrane Protein -1 (LAMP-1)

# Manish Dwivedi<sup>1</sup>, Vijay Tripathi<sup>2</sup>, Ashutosh Mani<sup>3</sup> and Dwijendra K. Gupta<sup>4</sup>

Abstract - Lysosomes, the endocytic subcellular compartments play a very important role in the disintegration and recycling of cellular substances. The lysosomal associated membrane proteins LAMP-1 and LAMP-2 are major constituents of the lysosomal membrane. Using different bioinformatics tools, we established the phylogenetic relationship among LAMP-1 proteins from different organisms. The phylogenetic analytics based on ClustalW, MEGA4 and BioEdit softwares showed structural as well as qualitative similarities and dissimilarities of LAMPs and helped us to predict the nature, structure and localization of amino acid of the membrane proteins in the lysosomal membrane. This information can help one explain the molecular basis of different metabolic diseases associated with the lysosomal membrane proteins like Lysosomal storage diseases, I-cell disease etc. and to solve the questions related to biogenesis of lysosomes. This study includes the alignment of the sequences of LAMP-1 by ClustalW and revealed that Ala,, Gly, Leu, Asp and Ser are most frequently occurring amino acids with higher frequency percentage and position from 240 to 420 showed minimal entropy. The entropy rarely touched the scale of two in entropy plot that is a sign of better alignment. It revealed that these proteins were more hydrophobic in N- terminal and C-terminal domain and basically of non-hydrophobic in nature. This work also represented the evolutionary order of LAMP-1 proteins among different mammalians. This study presents the first comparative genomic study and evolutionary analysis of the LAMP-1 proteins across family of organisms with special reference to mammals.

#### Index Terms -

Endocytic, phylogenetic, LAMP, hydrophobicity, lysosomes.

#### ABBREVIATIONS

LAMP- Lysosomal associated membrane proteins, NCBI-National Center for Biotechnology Information, BLAST-Basic local alignment search tool, BLOSUM-Blocks of amino acid substitution matrix. MEGA- Molecular Evolutionary Genetics Analysis.

#### **1. INTRODUCTION**

Lysosomes represent membrane-bound dense organelles of eukaryotic cells, specialized in breakdown of all four classes of macromolecules. Materials delivered to lysosomes by endocytosis / phagocytosis or autophagocytosis are degraded in these organelles by concerted action of more than 40 hydrolases. The degradation products are then exported to the cytosol through specific transporters and reused in the cellular metabolism [6].

<sup>1,2,3,4</sup>Center of Bioinformatics, University of Allahabad, Allahabad- 211002, India E-Mail: <sup>4</sup>dwijenkumar@rediffmail.com and <sup>1</sup>mdwivedibio@yahoo.com The physiological importance of lysosomal metabolite efflux is illustrated by the existence of a group of lysosomal storage diseases with transport defects, such as sialic acid storage disorders and nephropathic cystinosis. These inherited diseases result from defective efflux of sialic acid and cystine from lysosomes, respectively, and they have been linked to mutations in the membrane proteins sialin and cystinosin [20, 21], which are believed to represent sialic acid and cystine transporters. However, most lysosomal transporters, although biochemically characterized, remain unknown at the molecular level.

After the discovery of lysosomes by de Duve and coworkers [3] and conceptualization of inborn lysosomal disease' by Hers, a wide interest in understanding the biology and pathology of lysosomal disorders has led to the discoveries of nearly all lysosomal hydrolases and their encoding genes. However, the knowledge about proteins of the lysosomal membrane, which controls the interchange with other compartments and the cytosol and restricts the aggressive enzymes to the lysosomal interior, remained incomplete [4]. More than 20 distinct transport processes facilitating mainly the export of degradation products across the lysosomal membrane have been characterized functionally [15]. Yet, most of the transport catalyts remain unknown. Similarly, biogenesis of lysosomes and the machinery regulating their interaction with other compartments are still incompletely understood. In a notable report on rat tritosomal membranes, 219 proteins were identified, including 24 novel tentatively lysosomal proteins [14].

Two major lysosomal membrane sialoglycoproteins with apparent Mr ~ 120,000 containing polylactosaminoglycan comprise approximately 0.1-0.2% of total cell proteins. Immunoelectron microscopic examination of HeLa cells localized these two glycoproteins mainly to lysosomes and multivesicular bodies [4]. A number of different cell lines also express these glycoproteins. However, the apparent molecular weights differed between cell lines probably due to differences in the amount of polylactosaminoglycan expressed by each cell line. Fukuda(1988) reported that one of the glycoproteins is very homologous to that of a mouse counterpart, m-lamp- 1 The analogous human form of this glycoprotein is named human lamp-1 (h-lamp-1), while the other glycoprotein, to which the monoclonal antibody was made. is called human lamp-2 (h-lamp-2). Polylactosaminoglycans are heterogenous saccharides often having high molecular weights [18] and represent various antigenic structures such as AB0 blood group antigens, developmental antigens such as mouse F9 antigens and human fetal (i) erythrocyte antigen, and tumor-associated antigens such as sialyl Le". More recently, it has been shown that the lack of polylactosaminoglycan on the human erythrocyte anion transporter causes the glycoprotein to aggregate, resulting in

abnormal membrane structures in a congenital dyserythropoietic anemia-type II [9].

	NCBI Accession code	Lengh
		(aa)
Mus musculus	gi 13905006 gb AAH06785.1	189
Bos Taurus	gi 115497212 ref NP_001068592.	409
	1	
Homo sapiens	gi 39645231 gb AAH07845.2	248
Rattus norvegicus	gi 6981144 ref NP_036989.1	407
Felis catus	gi 156447859 gb ABU63691.1	179
Gallus gallus	gi 45384206 ref NP_990614.1	414
Xenopus laevis	gi 147902288 ref NP_001087042.	417
	1	
Macaca	gi 109121337 ref XP_001087801.	416
mulatta	1	
Marmota	gi 121044661 gb ABM46909.1	322
monax Cricetulus	gi 1346461 sp P49129.1	407
griseus		
Canis lupus familiaris	gi 73989504 ref XP_534193.2	413
Sus scrofa	gi 58332862 ref NP_001011507.1	413
Pan	gi 114650748 ref XP_001144542.	375
troglodytes	1	
Equus	gi 149730523 ref XP_001495702.	400
caballus	1	
Monodelphis	gi 126337411 ref XP_001374132.	422
domestica	1	

 Table 1: LAMP-1 sequences with their length and NCBI accession code

#### 2. MATERIALS AND METHOD

In order to search Lysosomal associated membrane proteins (LAMPs)family members we performed *BLAST* [1] by using blastp program in the protein database at *NCBI* [22].*Homo sapiens* LAMP-1 proteins' gi|39645231|gb|AAH07845.2| amino acid sequence was selected as query. From the hits 15 sequences, each from different species were selected for further studies. All the sequences were taken in *FASTA* format. The sequences were examined individually and aligned using *CLUSTALW* [11]. *Bioedit version* 7.0.9.0 [10] was used for manual editing and analysis of sequences. *Kyte J and Doolittle* [13] method was used to plot hydrophobicity profile. Entropy is then calculated as:

#### $H(l) = -\Sigma f(b,l) \ln(f(b,l))$

where H(l) = the uncertainty, also called *entropy* at position l, b represents a residue (out of the allowed choices for the sequence in question), and f(b,l) is the frequency at which residue b is found at position l. The information content of a position l, then, is defined as a decrease in uncertainty or entropy at that position. As an alignment improves in quality, therefore, the entropy at each position (especially conserved regions) should decrease, which gives a measure of uncertainty at each position relative to other positions. Maximum total uncertainty will be defined by the maximum number of different characters found in a column.A window of defined size that was 13 is moved along a sequence, the hydropathy scores are summed along the window, and the average (the sum divided by the window size) is taken for each position in the sequence. The mean hydrophobicity value was plotted for the middle residue of the window. Eisenberg et. al. method [7] was used to plot hydrophobic moment profile with a window size of 13 residues having six residues on either side of the current residue and rotation angle,  $\theta = 100$  degrees.

#### $\mu H = \{ [Hnsin(\delta n)]^2 + [Hncos(\delta n)]^2 \}$

Where  $\mu H$  is the hydrophobic moment, Hn is the hydrophobicity score of the residue H at position n,  $\delta$ =100 degrees, n is position within the segment, and each hydrophobic moment is summed over a segment of the same defined window length.

For a conserved region search within the multiple aligned sequences minimum segment length was set to 15 residues, maximum average entropy was set to be 2.0 and the gaps were limited to 2 per segment. Multiple sequence alignment, phylogenetic and molecular evolutionary analyses were conducted using MEGA version 4 [19]. For pair wise and multiple alignments gap open penalty was -7 and gap extension penalty was -1. BLOSUM weight matrix was used for substitution scoring [2]. Hydrophilic gap penalties were used to increase the chances of a gap within a run (5 or more residues) of hydrophilic amino acids; these are likely to be loop or random coil regions where gaps are more common. The multiple alignmets of sequences of LAMP-1 proteins were used to create phylogenetic trees. The evolutionary history was inferred using the Neighbour-Joining method [17]. All the characters were given equal weights. The bootstrap consensus tree inferred from 20000 replicates [8] was taken to represent the evolutionary history of the taxa analyzed [8]. Branches corresponding to partitions reproduced in less than 50% bootstrap replicates were collapsed. The percentage of replicate trees in which the associated taxa clustered together in the bootstrap tests (20000 replicates) are shown next to the branches [8]. The tree is drawn to scale, with branch lengths in the same units as those of the evolutionary distances used to infer the *phylogenetic tree*. The evolutionary distances were computed using the *poisson correction method* [23] and are in the units of the number of amino acid substitutions per site. All positions containing gaps and missing data were eliminated from the dataset (Complete deletion option). There were a total

of 176 positions in the LAMP-1 proteins' final dataset. Phylogenetic analyses were conducted in *MEGA4* [9].

#### 3. RESULT AND DISCUSSION Multiple sequence alignment

The Multiple alignment of LAMP-1 proteins (Figure 1) resulted into an alignment having 429 positions. By statistical analysis of multiple aligned sequences it was observed that alanine, glycine, leucine, asparagine, serine, threonine and valine are the most frequently present amino acids with frequency percentage of 8.64, 6.22, 9.85, 7.00, 10.22, 8.45 and 7.71 respectively. While within conserved sites alanine, cystein, phenylalanine, glycine, leucine, asparagine, serine and valine are the most frequently occuring amino acids with frequency percentage of 10.70, 8.10, 8.72, 7.34, 12.00, 6.35, 6.96 and 6.27 respectively. The multiple aligned sequence of LAMP-1 protein was found with No. of conserved sites=99, No. of parsimony informative sites= 207, No. of singleton sites= 116 and no. of variables 328.

[Homo sapiens]	
[Mus musculus]	
[Bos taurus]	-MAAPGGARRRP-LLLLLFAGLVHGASAV-FVVKNG-NGTACIMADFSATFLTSYDTRSGPQNKSFELPAGA-EVSNSSSCGKENASDSS
[Rattus norvegicus]	-MAAPGARRPLLLLLLAGLAHSAPAL-FEVKDN-NGTACIMASESASELTTYDAGHVSKVSNMTLPASAEVLKNSSSCGEKNASEPT
[Felis catus]	
[Gallus gallus]	MGGAARAVLLGFLQASSSFDVRDS-TGKVCIIANLTVAFSVEYKSSGQKQFAHFFLPQNA-TSQSHSSCGEGNTSHPI
[Xenopus laevis]	-MSWRQVKMPVYWMAVMLLIGVVQVATAVQEEVKDGKTNITCILADLSINFSVSYNVSSKMELATFVLPSEAVTNINKSSCGVENTTAPV
[Macaca mulatta]	MAAPGSARRSLLLLLLLLLLLLLLLLLLLLLLLLLLLLLLLLLL
[Marmota monax]	
[Cricetulus griseus]	-MAAPGAPRSLLLLLLAGLAHGASAL-FVVKDS-NGTACIMANESASFFTIYETGHGSKNSTFELPSSAEVLNSNSSCGRENVSEPI
[Sus scrofa]	-MAAPGGAWRRPLLLLLLLLGLARGASAV-FVVSDG-NGTACIMADFAAAFEISYDSRSGAKNTTFSLPASA-ÇVLNSSSCGKENTSDSS
[Canis familiaris]	MAAEGGARPRPLLLLLLAGLVHGAAAV-FVVKDA-NGTACIMANESAAFLASYETRSGPKNVTEDLPSDA-VVLNSSSCGKENTSDPS
[Pan troglodytes]	MANESASFSVNYDTKSGPKNMTFDLPSDATVVLNRSSCGKENTSDPS
[Eguus caballus]	VILLSGLMHGASAV-FVVKDG-NGTACIMANESAAFLTNYDTNSGEKNVTEDLPSDA-VVLNSSSCGKDNASGPS
[Monodelphis domestica	1 MAEPGGARTPQRLLLLLLG-LIHVASSI-FVVKNG-TGTACIMANESATESMNYTTKSGLESTTERLPQNA-SVMNSSSCGKENTSNPI
[Equus capallus] [Monodelphis domestica	ylindsimindar frynds marking chinafar i niw yn

-LHDATIQAYLSNSSFSRG LVITFGRGHTLTLIFTRNATRYEVQLMRFAYNLSDTDTFFNSS-STGVKTVES-ATDIKADINKTYRCVSETQVMUDNVTVTLRDAAIQAYLSSSNFSRE LAITFGEGYLLKLTFTKNTTRYSVQHMYFTYNLSDTQFFPNAS-SKGPDTVDS-TTDIKADINKTYRCVSDIRVYMKNVTIVLWDATIQAYLPSSNFSKE LALSFGAGHLISLNFSKTLDKYQVEELTFHYNLSDETLFPNAT-EGKVMVATQ-KSVIQARIGTEYRCINSKVVRMKHVNITFSNVTLEAYPTNDTFSAN

LELGYGSNIKLESINFERNIRK VILLED KILLED KILLED KILLED KANTEN KAT VALABUTVIKANGKINGAL INDILLED VILLED VI

ETRCECORP------SPTTAPPAPPSPSPSPVP--KSPSVDKYNVSGTNGTCLLASMGLQLNLTYERKDNTTVTRLLNINPNKTSASGSCGAHLVTLE

NNGTCLLASMALQLNITTLLKKDNKIVIKAFNISFNDT-SSGSCGINLVILK	
ETRCEQDLPTPTTPPQPAPTPAPASPAVFRYNVSGSNGTCLLASMGLQLNVTYRRVDNKTVTREFNVNPNKTTFGGNCSATLATLE	
ETRCPQDQPSPTTGPPSPSPPLVPTNPSVSKYNVTGDNGTCLLASMALQLNITYMKKDNTTVTRAFNINPSDK-YSGTCGAQLVTLK	
NGTCLLASMGLQLNVTYSKRDNTTVSGLFSIDPSNTSATGSCGPQLVTLD	
$\label{eq:construction} ktecked was the construction of the cons$	
VSTCSEDITPTSAPAPVTTTAPVPAP-VPDPPVVQYSVNRSSEPCLLAKVGLQMNITYTTKDGKNGSYVENIESKGVTVDGNCTNTTAYLS	
ETRCEQDRPSPTTAPPAPPSPSPSPVPESPSVDKYNVSGTNGTCLLASMGLQLNLTYERKDNTTVTRLLNINPNKTLASGSCGAHLVTLE	
ESRCKQDKPEPSPTALPPAPPSPSPSPVPA-ESPSVSRYNVSGDNGTCLLASMGLQLNVTYARRDNTTVTSVLNINPNETTASGNCSSLLVTLE	
ETRCTQDGPSPTTVPPSPSPPLVPTNPTVIKYNVTGENGTCLLASMALQMNITYMKKDNMTVTRALNISPNDT-ASGSCSPHVVTLT	
ETRCEQDKPSPPTPTAPPTPTPTPAPTSPVVSRYNVSGANGTCLLASMGLQLNVTYRTKDNTTVTRGLNINPNKTTFGGSCSAQLVTLE	
ETRCECOGPFPTTAPPPPPHPSPSPAPESPSVHKYNVSGANGTCLLASMGLCINVTYKKKDNTTVVKVVSINPNKTTAGGSCGACLVTLE	
ETRCECORPSPTTAPPAPPSPSPSPVPESPSVDKYNVSGTNGTCLLASMGLQLNLTYERKDNTTVTRLLNINPNKTSASGSCGAHLVTLE	
ETRCECORPSPTPTPPVTPHPSPSPTPESPSVNKYNVSGTNGTCLLATMGLCINVTYENRDNMTVTRVFNINPNKTRVNGSCTACLVTLE	
ETRCSQDTPSPSPVPTTHPTTIPVPTPTPTPTPTPTPA-EIPPIFKYNVSDANGTCLLASMGLQLNITYAKKDNSSARIIWNINPNKTVAGGSCSPQVAILE	

LDENSMLIPIAVGGALAGLVLIVLIAYLVGRKRSHAGYQTI
QDGNNMLIPIAVGGALAGLVLIVLIAYLIG <b>RKRS</b> HAGYQTI
LDENSMLIPIAVGGALAGLVLIVLLAYLIGRKRSHAGYQTI
QDGNNMLIPIAVGGALAGLVLIVLIAYLIGRKRSHAGYQTI
LDENSMLIPIAVGGALAGLVLIVLIAYLKG
LDENNMLIPIIVGAALAGLVLIVLIAYLIGRKRSHAGYQTI
LDENGMLVPIVVGAALAGLVLIVLIAYLIGRKRSHAGYQTI
LDENNMLIPIAVGGALAGLVLIVLIAYLVGRKRSHAGYQTI
LDGNNMLIPIAVGGALAGLVLIVLIAYLIG <b>RKRSH</b> AGYQTI
QDGNNMLIPIAVGGALAGLVLIVLIAYLIG <b>RKRS</b> HAGYQTI
LDENSMLIPIAVGGALAGLVLVVLMAYLVGRKRSHAGYQTI
LDENSMLIPIAVGGALAGLVLIVLIAYLIGRKRSHAGYQTI
LDENNMLIPIAVGGALAGLVLIVLIAYLVGRKRSHAGYQTI
LDENNMMIPIAVGGALAGLVLIVLIAYLIGRKRSHAGYQTI
LDENNMLIPIAVGGALAGLVLIVLIAYLIGRKRSHAGYQTI

Figure1: Multipe sequence alignment of LAMP-1 proteins.

#### Conserved region search

A conserved region search resulted into six regions from position 241 to 257 (segment length = 17), 259 to 276 (segment length = 18), 278 to 295(segment length = 18), 297 to 324 (segment length = 28), 326 to 379 (segment length = 54) and 381 to 420 (segment length= 40) with an average entropy of 0.2152, 0.8244, 0.9500, 0.7522, 0.6430 and 0.2481 respectively as shown in figure 2. This conservation has already been upheld by minimal entropy shown by positions 240 to 420.

Region 1: Position 241 to 257

Segment Length: 17

Average entropy (Hx): 0.2152

Consensus:

241 NGTCLLASMGLQLNITY 257
NGTCLLASMGLQLNLTY
NGTCLLASMALQLNITY
NGTCLLASMGLQLNVTY
NGTCLLASMALQLNITY
NGTCLLASMGLQLNVTY
NGTCVLASMGLQLNITY
SEPCLLAKVGLQMNITY
NGTCLLASMGLQLNLTY
NGTCLLASMGLQLNVTY
NGTCLLASMALQMNITY
NGTCLLASMGLQLNVTY
NGTCLLASMGLQLNVTY
NGTCLLASMGLQLNLTY
NGTCLLATMGLQLNVTY
NGTCLLASMGLQLNITY

Region 2: Position 259 to 276

Segment Lengths: 18 Average entropy (Hx): 0.8244

Consensus:

259 KKDNTTVTRLLNINPNKT 276

RKDNTTVTRLLNINPNKT KKDNKTVTRAFNISPNDT RVDNKTVTREFNVNPNKT	
KKDNTTVTRAFNINPSDK KRDNTTVSGLFSIDPSNT	
KKDEKMGLDLLNFIPHNT TKDGKNGSYVFNIESKGV RKDNTTVTRLLNINPNKT	
RRDNTTVTSVLNINPNET KKDNMTVTRALNISPNDT	
TKDNTTVTRGLNINPNKT KKDNTTVVKVVSINPNKT RKDNTTVTRLLNINPNKT	
NRDNMTVTRVFNINPNKT KKDNSSARIIWNINPNKT	

#### Region 3: Position 278 to 295 Segment Length: 18 Average entropy (Hx): 0.9500

Consensus:

278 ASGSCGAQLVTLELHSEN 295

ASGSCGAHLVTLELHSEG
SSGSCGINLVTLKVENKN
FGGNCSATLATLELHSEN
YSGTCGAQLVTLKVGNKS
ATGSCGPQLVTLDLRSSR
ASGMCESTSAFLNLAFEK
VDGNCTNTTAYLSLSTGS
ASGSCGAHLVTLELHSEG
ASGNCSSLLVTLELQSKK
ASGSCSPHVVTLTVESKN
FGGSCSAQLVTLELQGES
AGGSCGAQLVTLELRSES
ASGSCGAHLVTLELHSEG
VNGSCTAQLVTLELRDED
AGGSCSPQVAILELQTEN

#### **Region 4:** Position 297 to 324

Segment Length: 28 Average entropy (Hx): 0.7522

Consensus:

297TVLAFQFGMNASSSRFFLQGIQLNMTLP 324 TVLLFQFGMNASSSRFFLQGIQLNTILP RALELQFGMNASSSRFFLQGVQLNTLP RVLELQFGMNATSSLFFLQGVQLNMTLP HSLLFQFGMDPNTSQFFLQGVQLNMTLP HSLLFQFGMNASSSRFFLQGIQLNTLP TVLFQFGMNASSSRFFLQGIQLNTLP MVLVLWFGMNASSSRFFLQGIQLNATFP SILDLKFGMNGSSSLFFLQEVQLNMTLP TLLAFQFGMNASSSRFFLQGIQLNMTLP TVLFFGMNASSSRFFLQGIQLNMTLP TVLFFGMNASSSRFFLQGIQLNTLP STLAFSFGMNATSSQFFLQEUQLDMTLP STLAFSFGMNATSSFFLQEIQLDMTLP STLAFSFGMNATSSFFLREIRFHKFFP

#### **Region 5:** Position 326 to 379 Segment Length: 54

Average entropy (Hx): 0.6430

#### Consensus:

326 DARDPTFKAANSSLRALQATVGNSYKCNAEEHVRVTKAFSVNIFKVWVQAFKVE 379

DARDPAFKAANGSLRALQATVGNSYKCNAEEHVRVTKAFSVNIFKVWVQ	AFKVE
DALVPTFSISNHSLKALQATVGNSYKCNTEEHIFVSKMLSLNVFSVQVQ	AFKVD
DAKEGSFTATNSSLRALÇATAGNSYKCNAEÇRLRVTSSFSLNMFRVWLÇ	AFRVD
DAIEPTFSTSNYSLKALÇASVGNSYKCNSEEHIFVSKALALNVFSVÇVÇ	AFRVE
DARDPTFCADNSSLRALCATIGNSYKCNAEERVEVTEAFSVNIFKVWVC	AFQVQ
EAKAPTFEASNDSMSESRATVGNSYKCSAEENFQVTDKALVNVFNVQVQ	AFKVD
DANDTHFEAANSSLNYMQTNVHKSFKCNSKQTLQITDPFTVNTYHLQVQ	AFNSD
DARDPAFKAANSSLRALQATVGNSYKCNAEEHVRVTKAFSVNIFKVWVQ	
DAKEPTFRATNTSLRALQATVGHSYKCNTEEHIRVTQAFSLNIFRVWVQ	AFQVE
DANVSSLMASNÇSLRALÇATVGNSYKCNTEEHIFVTKEFSLNVFSVÇVÇ	AFKVE
DARDPSFSAANSSLRALQATAGNSYKCRSEQRLQVTEAFALNVFQVRVQ	AFRVD
DARDPTFKAGNNSLRALÇATIGNSYKCNAGEHVÇVTEAFSVNIIKVWVÇ	AFQVQ
DARDPAFKAANGSLRALÇATVGNSYKCNAEEHVRVTKAFSVNIFKVWVÇ	AFKVE
DARDFTFKAANSSLRALGATIGNSYRCNAEERLRVTEALSVNVFRVWVG	AFQVE
DAKDPAFGAVNSSLKELÇATVGNSYKCNAEENVHVTDGFSVNIFRVRVÇ	AFKVE

#### Region 6: Position 381 to 420

Segment Length: 40

Average entropy (Hx): 0.2481

Consensus:

381DKFGSVEECQLDENNMLIPIAVGGALAGLVLIVLIAYLIG 420

GQFGSVEECLLDENSMLIPIAVGGALAGLVLIVLIAYLVG
DRFGSVEECVCDGNNMLIPIAVGGALAGLVLIVLIAYLIG
DKFGPVEECQLDENSMLIPIAVGGALAGLVLIVLLAYLIG
DRFGSVEECVÇDGNNMLIPIAVGGALAGLVLIVLIAYLIG
DKFGSVEECQLDENSMLIPIAVGGALAGLVLIVLIAYLKG
DKFGAMEECQLDENNMLIPIIVGAALAGLVLIVLIAYLIG
NTFASAVECSLDENGMLVPIVVGAALAGLVLIVLIAYLIG
GQFGSVEECLLDENNMLIPIAVGGALAGLVLIVLIAYLVG
DRFGSVEECLLDGNNMLIPIAVGGALAGLVLIVLIAYLIG
DRFGSVEECMCDGNNMLIPIAVGGALAGLVLIVLIAYLIG
DKFGPAEECQLDENSMLIPIAVGGALAGLVLVVLMAYLVG
DKFGSVEECÇLDENSMLIPIAVGGALAGLVLIVLIAYLIG
GQFGSVEECVLDENNMLIPIAVGGALAGLVLIVLIAYLVG
DKFGSVEECQLDENNMMIPIAVGGALAGLVLIVLIAYLIG
DKFGSVEECLLDENNMLIPIAVGGALAGLVLIVLIAYLIG

Figure2: Six conserved domains obtained with their length.

#### Entropy plot

An entropy plot, measure of the lack of the information content and the amount of variability, was generated for all the aligned positions (Fig. 3). The plot shows that entropy rarely touches a scale of two, showing minimal entropy at several positions from position 245 to position 420 where entropy rarely crosses a scale of one, which is a sign of better alignment in the region. Any position before 240 doesn't show much conservedness.

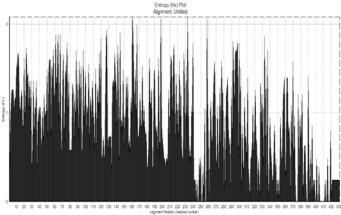


Figure3: Entropy (Hx) Plot

#### Hydrophobicity profile and hydrophobic moment

A hydrophobicity profile plot shows that mean hydrophobicity of the protein for most of the positions is in all the species is around zero, occassionaly it turns to be positive or negative (Fig.4). N-terminal domain and C-terminal domains are more hydrophobic. Maximum hydrophobicity is observed from positions 1 to 55 and between 390 to 420 positions in *Canis lupus familiaris*. *Macaca mulatta* and *Monodelphis domestica* exhibits high jump in hydrophobicity from position 10 to 40 and 400 to 420 respectively. These proteins are basically of non-hydrophobic in nature as most of the positions are across show a below mean hydrophobicity in the case of most of the organisms studied here.

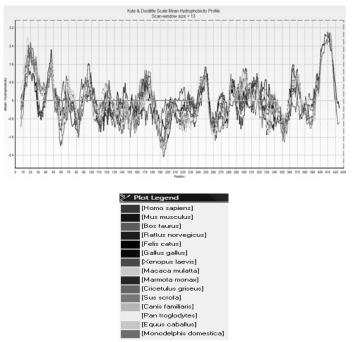


Figure4: Kyte and Dolittle scale mean hydrophobicity profile plot

#### Phylogeney

The phylogenetic tree constructed by using Neighbour –joining method (Fig. 5-6) shows different organisms on tree nodes branched on the basis of their LAMP-1 proteins. *Xenopus tropicalis* makes a totally diverged branch from the main tree among the selected proteins. Node for Mammalia is supported by lower bootstrap values i.e.99% while the node for primates(*Homo sapiens,Pan troglodytes,Macaca mulatta*) is supported by very high bootstrap value i.e. 100%. This tree gives an idea about the evolutionary order of LAMP-1 proteins. This phylogeny does not seem to be completely consistent with the current view of taxonomy perhaps due to use of a specific protein rather than complete genomes.

#### 4. CONCLUSION

This study presents the first comparative genomic study and evolutionary analysis of the LAMP-1 proteins across family of organisms with special reference to mammals. The study established an overall framework of information for the family of LAMP-1 proteins, which may facilitate and stimulate the study of this gene family across all organisms.

#### **5. FUTURE SCOPE**

The evolutionary account of the Lysosomal membrane proteins will help us to reveal the some unexposed aspects of the many metabolic diseases linked to the lysosomal membrane proteins like Lysosomal storage diseases, I-cell disease etc. This work may facilitate to design the drug to over come these diseases.

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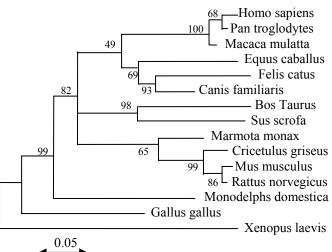
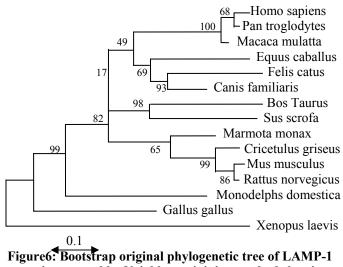


Figure5: Bootstrap consensus phylogenetic tree of LAMP-1 proteins created by Neighbour- joining method showing bootstrap support values on the nodes.



proteins created by Neighbour- joining method showing bootstrap support values on the nodes.

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# An Effective Technique for Data Security in Modern Cryptosystem

## Dilbag Singh<sup>1</sup> and Alit Singh<sup>2</sup>

Abstract - Present paper provides a conceptual framework on the proposed C-QUBITS Key exchange technique, which is used as a base for the data security through quantum computing in the modern cryptosystem. In the first phase a detailed description of the BB84 Cryptographic protocol is given, which is used as a standard protocol for quantum key distribution in quantum cryptography and the emphasis is also given on the loopholes present in this protocol which makes it less effective than it pretends to be. In the next phase the focus is made on the C-QUBITS technique, which can be used for the exchange of key between the sender and the receiver. Thereafter the key is used for the encryption of the data to be transferred between the two entities. This technique makes use of the concepts of quantum physics like polarization and more importantly C-NOT gate which is mainly used in case of aubits (auantum bits) and it is more effective and secure than the BB84 protocol. In the last phase the focus is made on the information reconciliation and privacy amplification, which is used for error correction carried out between Alice and Bob's keys and for reducing a

third party's partial information about the shared secret key

between two parties, Alice and Bob respectively. Further the security level in the C-QUBITS technique can be increase by performing the privacy amplification that convert the realized secret key into a smaller length key through some hashing function chosen at random from a known set of hashing functions.

Index Terms - C-qubits algorithm, BB84 protocol, qubits, quantum key distribution, privacy amplification, information reconciliation and hashing function.

#### **1. INTRODUCTION**

Modern cryptosystem are specifically designed for use on computers and no longer concern with the written alphabet. The focus is on the use of binary bits. One of the main part of the modern cryptosystem is quantum cryptography. It was born in the early seventies when Stephen Wiesner wrote "Conjugate Coding", which unfortunately took more than ten years to see the light of print[1]. In the mean time, Charles H. Bennett and Gilles Brassard picked up the subject and brought it to fruition in a series of papers that culminated with the demonstration of an experimental prototype that established the technological feasibility of the concept[2]. Quantum

<sup>1</sup>Department of Computer Science & Engineering, Choudhary Devi Lal University, Sirsa, Haryana (India) <sup>2</sup>Department of Computer Science & Engineering, BPS Mahila Vishwavidyalaya, Khanpur Kalan, Sonepat, Haryana (India) E-Mail: <sup>1</sup>dbs\_beniwal@rediffmail.com and <sup>2</sup>ghanghas ajit@rediffmail.com cryptographic systems take advantage of Heisenberg's uncertainty principle, according to which measuring a quantum system in general disturbs it and yields incomplete information about its state before the measurement [3]. Eavesdropping on a quantum communication channel therefore causes an unavoidable disturbance, alerting the legitimate users. This yields a cryptographic system for the distribution of a secret random cryptographic key between two parties initially sharing no secret information that is secure against an eavesdropper having at her disposal unlimited computing power. Once this secret key is established, it can be used together with classical cryptographic techniques such as the one-time-pad to allow the parties to communicate meaningful information in absolute secrecy. Advantage of quantum cryptography over traditional key exchange methods is that the exchange of information can be shown to be secure in a very strong sense, without making assumptions about the intractability of certain mathematical problems. Even when assuming hypothetical eavesdroppers with unlimited computing power, the laws of physics guarantee (probabilistically) that the secret key exchange will be secure, given a few other assumptions [4].

#### 2. QUANTUM APPROACH

Main problem of secret-key cryptosystems is secure distribution of keys. It is here that quantum mechanics offers a solution. While the security of public key cryptographic methods can be undermined by advances in technology and mathematical algorithms, the quantum approach will provide unconditional security [12,13]. Within the framework of classical physics, it is impossible to reveal possible eavesdropping, because information encoded into any property of a classical object can be acquired without changing the state of the object. All classical signals can be monitored passively. In classical information, one bit of information is encoded in billions of photons, electrons, atoms, or other carriers. You can always deviate part of the signal and perform a measurement on it, whereas in quantum mechanics, any projective measurement will induce disturbances [5].

#### **3. QUANTUM KEY DISTRIBUTION**

Key distributed using quantum cryptography would be almost impossible to steal because Quantum key distribution (QKD)[5,6,7] systems continually and randomly generate new private keys that both parties share automatically

A compromised key in a QKD system can only decrypt a small amount of encoded information because the private key may be changed every second or even continuously. To build up a secret key from a stream of single photons, each photon is encoded with a bit value of 0 or 1, typically by a photon in some superposition state, such as polarization. These photons are emitted by a conventional laser as pulses of light so dim that most pulses do not emit a photon. This approach ensures that few pulses contain more than one photon. Additional losses occur as photons travel through the fiber-optic line. In the end, only a small fraction of the received pulses actually contain a photon [10]. However, this low yield is not problematic for QKD because only photons that reach the receiver are used. The key is generally encoded in either the polarization or the relative phase of the photon.

#### 4. CRYPTOGRAPHIC PROTOCOL BB84

The most common standard protocol for quantum key distribution is called BB84, it was invented by Charles H. Bennet and Gilles Brassard in 1984. It allows two users to

Basis	0	1
Ι	1	
×	1	>

establish an identical and purely random sequence of bits at two different locations while allowing revealing of any eavesdropping. BB84 uses two pairs of states, with each pair conjugate to the other pair, and the two states within a pair orthogonal to each other. Pairs of orthogonal states are referred to as a basis. The usual polarization state pairs used are

either the rectilinear basis of vertical  $(0^{\circ})$  and horizontal  $(90^{\circ})$ , the diagonal basis of 45° and 135° or the circular basis of leftand right-handedness. Any two of these bases are conjugate to each other, and so any two can be used in the protocol. Below the rectilinear and diagonal bases are used.

The first step in BB84 is quantum transmission. Alice creates a random bit (0 or 1) and then randomly selects one of her two bases (rectilinear or diagonal in this case) to transmit it in. She then prepares a photon polarization state depending both on the bit value and basis, as shown in the table to the left. So for example a 0 is encoded in the rectilinear basis (+) as a vertical polarization state, and a 1 is encoded in the diagonal basis (x) as a 135° state. Alice then transmits a single photon in the state specified to Bob, using the quantum channel. This process is then repeated from the random bit stage, with Alice recording the state, basis and time of each photon sent [8].

In the lab experiment [9], the BB84 protocol encodes single photon polarizations using two bases of the same 2–dimensional Hilbert space:

- rectilinear basis  $\{0^\circ: | \rightarrow \rangle, 90^\circ: | \uparrow \rangle\}$
- diagonal basis  $\{45^\circ: | \nearrow \rangle, 135^\circ: | \searrow \rangle\}$

Only requirement on the involved quantum states is actually that they belong to mutually non-orthogonal bases of their Hilbert space, where each vector of one basis has equal-length projections onto all vectors of the other basis. If a measurement on a system is performed in a basis different from the one the system is prepared in, its outcome is completely random and the system looses all the memory of its previous state.

Any measurement in the diagonal basis on photons prepared in the rectilinear basis will yield random outcomes with equal probabilities and vice versa. On the other hand, measurements performed in the basis identical to the basis of preparation of states will produce deterministic results. The protocol relies on Heisenberg's uncertainty principle, which forbids the measurement of more than one polarization component of one photon. To exchange a secret key in the BB84 protocol[8], Alice and Bob must do as follow:

Alice creates a binary random number and sends it to Bob using randomly the two different bases + (rectilinear) and X (diagonal):

-  $|\rightarrow\rangle$  and  $|\nearrow\rangle$  both represent 1

-  $|\uparrow\rangle$  and  $|\bar{\uparrow}\rangle$  both represent 0

Therefore, Alice transmits photons randomly in the four polarization states

 $|\rightarrow\rangle, |\uparrow\rangle, |\nearrow\rangle, |\nearrow\rangle, \text{and} |\checkmark\rangle.$ 

- 1. Bob simultaneously measures the polarization of the incoming photons using randomly the two different bases. He does not know which of his measurements are deterministic, i.e. measured in the same basis as the one used by Alice.
- 2. Later, Alice and Bob communicate to each other the list of the bases they used. This communication carries no information about the value of the measurement, but allows Alice and Bob to know which values were measured by Bob correctly.
- 3. Bob and Alice keep only those bits that were measured deterministically and will disregard those sent and measured in different bases. Statistically, their bases coincide in 50 % of all cases, and Bob's measurements agree with Alice's bits perfectly.
- 4. Together, they can reconstitute the random bit string created previously by Alice.

#### 4.1 Loophole in BB84 Protocol

Now as we have given a complete description of BB84 protocol. If Eve intercepts the transferred photons two cases are possible.

# CASE 1: First one is that the base used by the Alice, Bob and Eve will be same.

# CASE 2: Second one is that the base used by the Alice and Bob is same but that used by the Eve is different.

As the base used by all three of them is same in **Case 1** so Eve will be able to correctly guess the value corresponding to the polarized photon. As the base used by Eve and Alice is same so after the interception of the photon, the polarization of the photon won't change so it would be impossible for the Bob to guess that interception took place.

And if suppose 40 photons are send by Alice then on an average in 20 photons (using probability) out of that, the base used by Alice and Bob will be same (Which will form the key) and out of that also in 10 photons base used by Alice, Eve and Bob will be same. So we can conclude that out of 20 photons that will form the key 10 will be known to Eve i.e.  $\frac{1}{2}$  of the total key.

**NOTE:** Here we have not considered the case where the base used by the Alice and Bob will be different as in that case photons won't be considered for being the part of the key (No matter what is the base used by the Eve).

#### 5. PROPOSED C-QUBITS TECHNIQUE

In this the photons will be send in pairs. First photons will be passed through the C-NOT gate (as shown in Fig. -1) and

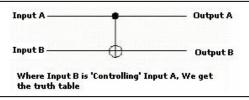


Figure 1 C-NOT gate.

then will be passed through the polarized. Before going any further we would like to explain the working of C-NOT gate.

Input		Out	out	Туре
A (Control)	B (XOR)	A	В	
0	0	0	0	Identity
0	1	0	1	Identity
1	0	1	1	Swap
1	1	1	0	Swap

Table 1: Truth table of the C-NOT gate

The gate will take two inputs and correspondingly give two outputs. Table 1 summaries all input-output possibilities for a C-NOT gate. Output value of B depends on the value of A. If value of A is 0 then Value of B will remain as it is, and if value of A is 1 then value of B will change[12]

The diagram given in Fig. 2 shows how pair of bits is passed through the C-NOT gate and then how polarization takes place[12]. The polarization [9] takes place in the same way as in case of original BB84 algorithm.

#### 5.1 Steps in C-QUBITS Technique

C-QUBITS technique includes the following steps and the actual data to code conversion is given in Table 2[12].

i. Alice creates a binary random number and divides them into pairs and then each pair is passed through **C-NOT gate**. Then it to Bob using randomly the two different bases + (rectilinear) and X (diagonal):

-  $|\rightarrow\rangle$  and  $|\nearrow\rangle$  both represent 1

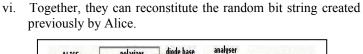
-  $|\uparrow\rangle$  and  $|\langle\rangle\rangle$  both represent 0

Therefore, Alice transmits photons randomly in the four polarization states

 $|\rightarrow\rangle,|\uparrow\rangle,|\nearrow\rangle, and |\ddots\rangle.$ 

- ii. The bases of the pair of photons can be +X, ++, X+ or XX.
- iii. Bob simultaneously measures the polarization of the incoming pair of photons using randomly the four possible combinations i.e. +X, ++, X+ or XX. He does not know which of his measurements are deterministic, i.e. measured in the same pair of basis as the one used by Alice.
- iv. Later, Alice and Bob communicate to each other the list of the bases they used for each pair of photons.

v. Bob and Alice keep only those pair of bits that were measured deterministically and will disregard those sent and measured in different bases. Statistically, the pair bases coincide in 25 % of all cases, and Bob's measurements agree with Alice's bits perfectly. In those cases only the output B of C-NOT gate is considered for being part of the key.



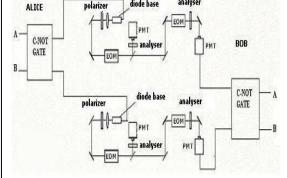


Figure 2: Diagrammatic view of the C-QUBITS technique

Alice	1	0	1	1	1	1	0	1	0	1	0	0
C-NOT gate	1	1	1	0	1	0	0	1	0	1	0	0
Random bases	Х	+	Х	Х	+	Х	+	+	+	Х	Х	+
Alice Polarization	1	1	7	2	1	1	Ť	1	Ť	2	2	Ť
Bob's random Bases	Х	+	Х	+	+	Х	Х	+	+	+	Х	+
Bob's measurement	2	1	1	1	1	1	2	1	Ť	Ť	7	Ť
C-NOT gate	1	0			1	1					0	0
Values Kept		$\checkmark$				$\checkmark$						V

#### Table 2: Shows the actual data to code conversion.

**Note:** The table-2 given at the end of the paper shows C-QUBITS in tabular form. The same color cells are used to indicate pairs. Here 3 bits have been deduced which will become part of the final key. This process continues until we get desired number of bits to form the complete key.

#### 5.2 What if Eve intercepts?

Eavesdropper (usually called Eve) intercepts in between to listen to the quantum channel, she can intercept the pair of photons sent by Alice, perform measurements on them and resend them to Bob. However, as Alice alternates her encoding bases at random, Eve does not know the basis to use for her measurement; she must choose her measurement bases at random, as well. Now as there are 4 possible pairs i.e. ++, +X, XX, X+ Eve will guess the pair correctly one out of every four times. In that case she will be able to send the pair of photons correctly to Bob. But in other 75 % of the cases, though, she measures in the wrong basis and produces errors.

Example, lets assume Alice sends a pair of 1and 0.Now when they are passed through C-NOT gate, value of 1 will remain as it is but value of 0 will change to 1 (refer the C-NOT truth table). Now suppose '1' is send in the rectilinear basis i.e. the state  $\rightarrow$  and other 1 in diagonal base i.e. the state (We are only considering the case where Bob will also use are rectilinear base for the first photon and diagonal base for the second base) because for all the other cases the photons wont be considered for being the part of the key. Suppose Eve also measures the first photon in the rectilinear base and the second photon in the diagonal base then she will able to guess the value of that photon perfectly (but this will happen in only 25% of the cases as compared to 50% cases in case of BB84 algorithm). In rest of the 75% cases when Eve will make a mistake in choosing one or both of the random bases, then no matter which polarization Eve detects and re-sends she won't be having any idea of the value of the photons used. (Eve won't be able to guess the remaining bits as we are considering only output of B (of the C-NOT gate) for the key, as Eve does not know the value of A on which final value of B depends)[12].

#### 6. COMPARISON OF C-QUBITS TECHNIQUES WITH BB84 PROTOCOL

#### 6.1 BB84 Protocol:

Suppose we have 640 bits (we have taken a large value so that on repeated division we don't get a decimal value). Now if we apply probability then in 320 bits rectilinear base will be applied by Alice and in other 320 bits diagonal base will be applied. Again on an average if Eve intercepts the photons then in  $\frac{1}{2}$  of the times the random base used by her, will be same as used by the Alice i.e. again for 320 bits. Now as Bob will also be using the same random bases as by Alice half of the times (on an average) and in 160 of that bits the case will be such that Base used by the Alice, Eve and Bob will be same. So this indicates that out of the 320 bits key that will be generated 160 bits will be known to Eve (although guessing of the remaining 160 bits will be very difficult, which itself explains the power of quantum cryptography).

So the **conclusion** is that: Total Bits used = 640 No of bits used for the formation of Key =320 No. of bits that Eve could guess =160 i.e. Eve knows half of the key

#### 6.2 C-QUBITS Technique

Suppose we have 640 bits i.e. 320 pair of bits. Here if Eve intercepts the photons then in 1/4 of the times the random base used by her, will be same as used by the Alice because there are 4 possible combinations i.e. ++, +X, XX, X+ i.e. on average in 80 pairs she will guess correctly. Now as Bob will also be using the same random bases for the pair of photons as by Alice 1/4 of the times (on an average) i.e. again 80 pairs and 20 of that pairs

the case will be such that the pair of Base used by the Alice, Eve and Bob will be same. So this indicates that only 80 pairs will be considered for the key and of that only the value of B will be considered so out of 640 bits used we will get a key of only 80 bits and out of that only 20 bits will be known to the Eve.

So the **conclusion** is that:

Total Bits used=640 bits or 320 pairs

No of bits used for the formation of Key=80

No of Bits that Eve could guess=20

i.e. Eve will be able to guess only <sup>1</sup>/<sub>4</sub> of the key (Use of C-not gate will make it impossible for Eve to guess the remaining Key)

#### 7. INFORMATION RECONCILIATION

Information reconciliation is a form of error correction carried out between Alice and Bob's keys, in order to ensure both keys are identical. It is conducted over the public channel and as such it is vital to minimize the information sent about each key, as this can be read by Eve. A common protocol used for information reconciliation is the cascade protocol, proposed in 1994. This operates in several rounds, with both keys divided into blocks in each round and the parity of those blocks compared. If a difference in parity is found then a binary search is performed to find and correct the error. If an error is found in a block from a previous round that had correct parity then another error must be contained in that block; this error is found and corrected as before. This process is repeated recursively, which is the source of the cascade name. After all blocks have been compared, Alice and Bob both reorder their keys in the same random way, and a new round begins. At the end of multiple rounds Alice and Bob will have identical keys with high probability, however Eve will have gained additional information about the key from the parity information exchanged [15].

#### 8. PRIVACY AMPLIFICATION

Further to increase the security, Privacy Amplification is performed. It is a method for reducing (and effectively eliminating) Eve's partial information about Alice and Bob's key. This partial information could have been gained both by eavesdropping on the quantum channel during key transmission (thus introducing detectable errors), and on the public channel during information reconciliation (where it is assumed Eve gains all possible parity information). Privacy amplification uses Alice and Bob's key to produce a new, shorter key, in such a way that Eve has only negligible information about the new key. This can be done using a hash function.( A hash function is a function from a set of possible inputs, U, to a set of outputs, which is usually taken to be  $\{1, \ldots, N\}$  for some N.) chosen at random from a publicly known set of such functions[11], which takes as its input a binary string of length equal to the key and outputs a binary string of a chosen shorter length. The amount by which this new key is shortened is calculated, based on how much information Eve could have gained about the old key (which is

known due to the errors this would introduce), in order to reduce the probability of Eve having any knowledge of the new key to a very low value[14,17].

#### 9. HOW DOES PRIVACY AMPLIFICATION WORK

In Quantum Key Distribution, to arbitrarily limit the amount of partial information that an eavesdropper can know about a quantum distributed key, the sender and receiver can use privacy amplification. This uses a set of universal hash functions chosen at random to compress both the key size and Eve's knowledge accordingly.

The hash algorithm which defines the family of universal hashes is in the clear. Like if

 $h(x) = (a_1.x_1+a_2.x_2+a_3.x_3+a_4.x_4)$ 

for an N bit key divided into 4 chunks x\_i. The values a\_i are randomly generated, and it's this which we don't get how it's transmitted between Alice and Bob and hash function can be publicly communicated. For example, let's say Eve (eavesdropper) knows 1/3 of the key. Alice (sender) and Bob (recipient) can publicly agree to break the key into 3 bit chunks and perform a parity operation on those, to make a key one third the length of the original. Since, at this point, Alice and Bob's keys agree completely, the reduced key will also agree completely without any need for communicating the results of the parity operations. Eve can know that they are performing this hash function, but since she only has 1/3 of the key, she can not perform the hash function on her partial key to get the official reduced key. So the hash function can be made completely public. Of course, Eve could know three consecutive bits, which would allow her to perform the hash function on those to get a bit from the reduced key. So the hash function needs to be chosen intelligently, based on the estimated knowledge of Eve. So, instead, if the hash function took 10 bit blocks for parity check, then Eve's expected knowledge goes down even farther.

To estimate Eve's knowledge, Alice and Bob will look at the error rate in the keys (using information reconciliation). Errors can be caused either by Eve's measurements or by noise. Alice and Bob will attribute all errors to Eve, to be safe. This gives them an idea of how much reduction their hash function must do. When we say the hash function is randomly chosen, the term random just means it is not chosen before hand. If Eve knew that the hash function would use three bits in a row, she could optimize her measurement to be more likely to give three bits in a row. But if she doesn't know how the bits will be grouped for the parity check (they need not be in a row), or if something other than parity will be used, she will have no way to optimize her measurement for the eventual hash function that is used. So 'random', in this case, just means 'decided after the key is sent.

Finally, it might wonder if Eve could do a man-in-the-middle attack, where she intercepts the discussion about the hash function and makes Bob think Alice is using a different has function. She can do this, for sure, but it will not result in Eve learning about the message. It will only keep Alice from communicating her message to Bob [16].

#### **10. PROSPECTS**

The current commercial systems are aimed mainly at governments and corporations with high security requirements. Key distribution by courier is typically used in such cases, where traditional key distribution schemes are not believed to offer enough guarantee. This has the advantage of not being intrinsically distance limited, and despite long travel times the transfer rate can be high due to the availability of large capacity portable storage devices. The major difference of quantum cryptography is the ability to detect any interception of the key, whereas with courier the key security cannot be proven or tested. QKD (Quantum Key Distribution) systems also have the advantage of being automatic, with greater reliability and lower operating costs than a secure human courier network.

Factors preventing wide adoption of quantum cryptography outside high security areas include the cost of equipment, and the lack of a demonstrated threat to existing key exchange protocols. However, with optic fibre networks already present in many countries the infrastructure is in place for a more widespread use [17].

#### **11. CONCLUSION**

The C-QUBITS technique can be used as a powerful tool for combating the problems of data security and provide more security that previously used BB84 protocol. Further this technique can be make more effective by using the concept of information reconciliation and privacy amplification.

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# **Revival of Tutor Model: A Domain Independent Intelligent Tutoring System (ITS)**

## Abrar S. Alvi<sup>1</sup> and M. S. Ali<sup>2</sup>

Abstract - Ever since the birth of ITS (Intelligent Tutoring System) in late 1970's and early 1980's, it has live through numerous evolutions. Through this paper we opt to explore and revive the Tutor (Pedagogical) Model of ITS. Pedagogy is referred to as the correct use of teaching strategies. The objective is to emphasize on various abstract pedagogies that can be incorporated into an Intelligent Tutoring Systems irrespective of the knowledge domain being taught. Hence the current research and development is to make sculpt that is used to provide tutoring of almost any knowledge domain. Despite of the outgrowth of technology (Computer) based learning, traditional learning/ teaching is still a dominant and a preferred choice not only by the students but also by the teachers. The reasons being reluctance to give up conventional practice, habit of face-to-face teaching and withhold benefit of one to one tutoring. So instead of trying to take over the traditional pattern, we come up with an ITS that bestow each student with a familiar one-to-one tutoring practice, flexibility to learn almost any knowledge domain using same gadget and to learn using contented pedagogies until he masters and governs that knowledge domain.

#### Index Terms - Knowledge Base, Knowledge Representation, Intelligent Tutoring System, Pedagogy.

#### **1. INTRODUCTION**

From the dawn of Internet, technology (Computer) based learning has outgrown to its fullest. [2, 6]. Computer-based learning conjoined with Internet spans a wide spectrum of learning methodologies viz e-learning, distance learning, web based learning, collaborative learning and many more to name. Obvious merits offered by them are self-paced learning of anyone, at anytime and from anywhere. And of course the obvious demerits are feeble urge because of absence of teacher, short in instantaneous communication and frail hold of the learning environment. [3] To overcome the drawbacks of this first generation learning environment a sunrise of second generation learning systems in the form of adaptive educational systems came into reality [3]. Adaptive hypermedia/multimedia system and Intelligent Tutoring System forms the basis of second generation learning environment. To be specific there are systems that are i) Intelligent but not adaptive ii) Adaptive but not really intelligent iii) Adaptive and intelligent [5], see Figure 8. The evident distinctiveness of ITS which facilitated us are one to one teaching with learner being entirely regulated by computer couching/tutoring and triggering students to learn by getting involved in every step of tutoring.

<sup>1</sup>Asstistant Professor, Department of Information Technology, PRM Institute of Technology & Research, Badnera. <sup>2</sup>Principal, PRM College of Engineering & Management, Badnera. E-Mail: <sup>1</sup>abrar alvi@rediffmail.com, <sup>2</sup>softalis@hotmail.com Furthermore, Intelligent Tutoring Systems accumulate three basic kinds of knowledge [1]: Domain knowledge (Domain Module), Knowledge about learners (Student Module), and Pedagogical knowledge (Pedagogical Module). [4].

#### 2. BUILDING GENERIC TUTOR MODEL

As the name implies the model will provide tutoring for almost any knowledge domain. We are testing the model for some of the knowledge domain of computer technology domain.

#### 2.1 Domain Model (Knowledge Base Building)

First and foremost step toward initiating the tutor model is working on domain model i.e. knowledge base building or creating learning objects The process of building knowledge has to be done for every domain that you wish to teach. Once the knowledge base (learning objects) is build, it needs to be copied into the model using the administrative interface provided (Figure 2 & Figure 3).

#### 2.2 Knowledge Structuring

The spirit of ITS is Domain module (KB building) and its spirit in turn is Knowledge structuring, done by using a standard way for knowledge representation. Building a knowledge base concentrates on selecting a proper representation for that knowledge as it will be eventually made available to the learner in their learning process. For every knowledge domain we have chosen four level of structuring. (Figure 1). The entire independent knowledge domain will be collected into a common folder (KB). Each knowledge domain is a collection of chapters and each chapter in turn is a collection of topics and every topic is taught using four different pedagogies (Knowledge representation).

#### **3. PEDAGOGICAL MODELING**

Pedagogy is the art or science of being a teacher. There exist numerous pedagogies. The one, which we are using, are elaborated in Table 1 and Figure 1.

Pedagogy generally refers to strategies of instruction or a style of instruction. Pedagogy is also sometimes referred to as the correct use of teaching strategies. The main objective is to provide each student with a study experience similar to ideal one-to-one tutoring. It is shown in many expert studies that one of the most effective ways for student to learn is to work with an expert tutor in and individual way [4].

Pedagogical model contains the knowledge of how to teach i.e. teaching or tutoring strategy. It coordinates the whole tutoring process and deals with issues like which topic is to present, when to present a new topic.

Level No.	Pedagogy	Description
1	Theory	Simple Theoretical Description

Level No.	Pedagogy	Description					
2	Media Files	Audio and Video tutorials					
3	Example	Elaborative Examples					
4	Practice	Worksheets					
	Table 1: Pedagogies used						

#### 3.1 Making Learner Meticulous (Examination Process)

Our focus is not mere tutoring the learner but to tutor until the learner actually becomes thorough in the knowledge domain opted by him for learning. To achieve it, we fire the learner with an examination that tests his understanding of the conception, his misconceptions and accordingly decides whether he needs revising the same topic with different pedagogy or can move forward to next topic toward completion of the knowledge domain.

If the learner fails to understand a particular topic by one of the pedagogy, the same topic is taught by more interactive pedagogy, providing a higher probability to be meticulous in that topic and eventually this is done in knowledge domain. The knowledge base already contains number of pedagogy for same topic. The pedagogy should be taken from knowledge base.

#### 3.2 Pedagogy Selection (Result Analysis)

This is the most important phase, as it is the phase that decides whether the student will proceed to next topic or whether he needs to learn the same topic again. If the result of a particular learner is positive (range of percentage will be predefined) then system will continue teaching him new topic with same pedagogy but if result is average or undesired then system will teach the same topic by applying next level of pedagogy and leaner will keep learning by second level. Same process will be applied until completing entire lessons for the selected domain. The Level-1 pedagogy is set as default for the learner i.e. learner always initiated with theoretical description. If the learner understands the topic, which means clear/pass, the exam appeared for the same topic. The same pedagogy is used to teach next topic but if he disqualifies then level-2 pedagogy is used to teach the same topic.

#### 4. SAMPLE SCREENSHOTS

We have included some of the experimental results showing model working.

#### 4.1. Domain Management

At the click of browse we upload the files with different pedagogies of that topic and at the press of update those files gets copied into the model which eventually are retrieved for tutoring the learner. The button 'Manage Topic Exam Question' lets the administrator build the exam set for that topic which will be used to test the learner after his study of that topic. (KB)

#### 4.2 The User Interface

The model has two users:

i) Admin/Teacher who injects the knowledge domain andii) Student/Learner who acquires the tutorials of knowledge domain available in the system.

#### 4.3 Result Analysis

Refer Figure (6, 7).

#### 4.4 Learner's Progress

The learner has completed the first topic using first level of pedagogy and is yet to finish the remaining topics, all the topics are shown proposed with first pedagogy as we have set level 1 as default pedagogy for tutoring.

#### **5. CONCLUSION**

This model is sure going to prove pioneering for teachers, who can use it for teaching any knowledge domain. And to make this model newfound for the learner as well, we have experimented teaching the learner using multiple pedagogies and providing tutoring on one to one basis. Our model makes decision and selection of the pedagogies that would best help the learner to understand and govern the domain he has opted for learning.

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# **Computational Modeling of Cell Survival Using VHDL**

# Shruti Jain<sup>1</sup>, Pradeep K. Naik<sup>2</sup> and Sunil V. Bhooshan<sup>3</sup>

Abstract - The model for cell survival has been implemented using Very High Speed Integrated Circuit Hardware Description Language (VHDL) (Xilinx Tool) taking three input signals: Tumor necrosis factor-a (TNF), Epidermal growth factor (EGF) and Insulin. Cell survival has been regulated by the interaction of five proteins viz P13K, TNFR1, EGFR, IRS and IKK in a network. In the absence of any one, in protein network leads to cell death. For the EGF input signal the proteins like MEK, ERK, AkT, Rac & JNK have been important for regulation of cell survival. Similarly for TNF and Insulin input signal proteins like NFKB, AkT, XIAP, JNK, MAP3K & MK2 and MEK, ERK, AkT, Rac, mTOR & JNK respectively have been important for regulation of cell survival.

Index Terms - Tumor necrosis factor-α, Epidermal growth factor, Insulin, AkT (PKB).

#### ABBREVIATIONS

EGF, epidermal growth factor; EGFR, epidermal growth factor receptor; ERK, extracellular-regulated kinase; FADD, Fas-Associated protein with Death Domain: FKHR. Forkhead transcription factor; Grb2, growth factor receptor-bound 2; IGF, insulin-like growth factor; IkB, I Kappa B (nuclear factor of kappa light polypeptide gene enhancer in B-cells inhibitor): IKK, IkB kinase; IR, insulin receptor; IRS1, insulin receptor substrate 1; JNK1, c-jun NH2 terminal kinase 1; MAP kinases, mitogen-activated protein kinases; MEK, mitogen-activated protein kinase and extracellular-regulated kinase kinase; MK2, mitogen-activated protein kinase-activated protein kinase 2; mTOR, mammalian target of rapamycin; NF-kB, nuclear factor-kB; PI3K, phosphatidylinositol 3-kinase; PKB, Protein Kinase B; p38, P38 mitogen-activated protein kinases; ptEGFR, phospho-to-total EGFR; ptAkT, phospho-to-total AkT; Rac, Ras-related C3 botulinum toxin substrate; SOS, Son of Sevenless; TNF, tumor necrosis factor; TNFR1, tumor necrosis factor receptor 1; TRADD, Tumor necrosis factor receptor associated via death domain; TRAF2, TNF receptor associated factor 2, VHDL, Very High Speed Integrated Circuit Hardware Description Language; XIAP, X-linked Inhibitor of Apoptosis Protein;.

#### I. INTRODUCTION

Cell signaling pathways interact with one another to form networks. Such networks are complex in their organization and exhibit emergent properties such as bistability and ultra

<sup>1,3</sup> Department of Electronics and Communication Engineering <sup>2</sup>Department of Biotechnology & Bioinformatics, Jaypee University of Information Technology, Solan-173215, India, E-Mail: <sup>1</sup>jain.shruti15@gmail.com sensitivity [1]. Analysis of signaling networks requires a combination of experimental and theoretical approaches including the development and analysis of models. This work examines signaling networks that control the survival decision treated with combinations of three primary signals [2, 3]; the prodeath cytokine, tumor necrosis factor- $\alpha$  (TNF), and the prosurvival growth factors, epidermal growth factor (EGF) and insulin. TNF induce apoptosis and survival [1, 2, 4], although receptor ligation is rarely enough on its own to initiate apoptosis as is the case with Fas ligand binding. Binding of TNF alpha to TNFR1 [5, 6] results in receptor trimerisation and clustering of intracellular death domains. This allows binding of an intracellular adapter molecule called TNFR-associated death domain (TRADD) via interactions between death domains. TRADD has the ability to recruit a number of different proteins to the activated receptor. Recruitment of TNF-associated factor 2 (TRAF2) can lead to activation of NFkB and the JNK pathway [6, 7]. EGF is a growth factor that plays an important role in the regulation of cell growth, proliferation, and differentiation. It also increases cancer risk. EGF acts by binding with high affinity to epidermal growth factor receptor (EGFR) on the cell surface and stimulating the intrinsic protein-tyrosine kinase activity of the receptor [8, 9]. Activation of the EGF receptor tyrosine kinase (EGFR) [9, 10, 11] occurs through receptor dimerization, conformational change, and autophosphorylation. Phosphorylated receptors recruit adaptor proteins, and these then activate multiple signaling proteins including extracellular-regulated kinase (ERK) via Ras [12] and the Akt [13] kinase via phosphatidylinositol 3- kinase (PI3K). In general insulin being a peptide hormone plays important role in both metabolic and mitogenic (growth promoting) pathways [14, 15]. Signaling through the insulin pathway is critical for the regulation of intracellular and blood glucose levels and the avoidance of diabetes. Insulin binds to its receptor leading to the auto phosphorylation of the *B*-subunits and the tyrosine phosphorylation of insulin receptor substrates (IRS). The role of insulin in cell survival has been proved by Insulin/Glucose Phospho-Antibody Array. It induces cell survival by interacting with Insulin receptors or the cell membrane through several cell signaling pathways such as PI3K/ AkT and MAP kinase [16, 17]. The binding of insulin [18, 19] to the insulin receptor also activates ERK and Akt, but in contrast to EGFR, the insulin receptor is constitutively dimerized, and most insulin induced signaling involves modification of insulin receptor substrate 1 (IRS1) [19, 20], a multidomain adaptor protein.

Regulation of cell survival and cell death are very complicated physiological processes involving a large number of proteins which interact in protein networks. The induction of specific network is executed by input signals like EGF, Insulin and TNF. Therefore, it is very difficult to define and measure the protein- protein interactions in a network leading to cell survival and cell death experimentally. However, the computational model is useful as a means to assemble and test what we know about proteins networks regulating a physiological process. In this study we have implemented the system model of cell survival considering three input signals (TNF, EGF and Insulin) and 16 proteins using VHDL simulation.

#### 2. MATERIALS AND METHODS

#### 2.1 Experimental

The experimental observation of cell survival from cells treated with ten cytokine combinations of tumor necrosis factor-a (TNF), a pro apoptotic cytokine, in combination with epidermal growth factor (EGF) or insulin, two pro survival growth factors has been worked out by Gaudet et al [3]. They have predicted with the response of cell survival as well as cell death with 94 % accuracy by including eleven different proteins such as MK2, JNK, FKHR, MEK, ERK, IRS, AkT, IKK, pAkT, ptAkT and ptEGFR. All the eleven proteins forms signaling network as represented in Figure1 leads to cell survival. The response of signaling network is regulated by the concentration of cytokines like TNF, EGF and Insulin. Therefore, it is possible to built self consistent compendia cell signaling data based on the above eleven proteins that can be simulated computationally to yield important insites into the control of cell survival.

#### 2.2 Computational Model

The prediction model for cell survival has been implemented using VHDL programming language. We have implemented the signaling system heading by three input signals such as TNF, EGF and Insulin (inputs are same as that of experimental). The block diagram of the signaling system that was modeled is shown in Figure 1.

TRADD recruits TRAF2 and RIP. TRAF2 in turn recruits the multicomponent protein kinase IKK, enabling the serinethreonine kinase RIP to activate it. An inhibitory protein, IkBa, that normally binds to NF-kB and inhibits its translocation, is phosphorylated by IKK and subsequently degraded, releasing NF-KB. NF-KB is a heterodimeric transcription factor that translocates to the nucleus and mediates the transcription of a vast array of proteins involved in cell survival and proliferation, inflammatory response, and anti-apoptotic factors. NF-KB induces the caspase inhibitors IAP1 and IAP2 and pro-survival Bcl-2 family members. Of the major MAPK cascades, TNF induces a strong activation of the stress-related JNK group, evokes moderate response of the p38-MAPK, and minimal activation of the classical ERKs. A general activation scheme involves the activation of receptor tyrosine kinases by growth factors, such as EGF [2, 3], which provides the binding site of the adapter protein Grb2 [19] that in turn localizes Sos to the plasma membrane. Sos activates Ras by exchange of GTP for GDP. The Ras-GTP binds directly to a serine-threonine kinase Raf [17, 18], forming a transient membrane-anchoring signal. Active Raf kinase phosphorylates a dual specificity kinase, MEK, [15, 16] and activates it. MEK can also be phosphorylated by Mos, a protein kinase expressed during meiotic maturation of oocytes and by MEKK1. The mono phosphorylated ERK then rebinds to an active MEK1 for dual phosphorylation and complete activation. The activated MEK phosphorylates ERK1/ERK2. Within the cell, at any time, one may find three low active forms of ERKs: one unphosphorylated enzyme, and two singly phosphorylated forms that contain phosphate either at the tyrosine or threonine residue. Activation of Akt involves growth factor binding to a receptor tyrosine kinase and activation of PI 3-K, which phosphorylates membrane bound PIP2 to generate PIP3. The binding of PIP3 to the PH domain anchors Akt to the plasma membrane and allows its phosphorylation and activation by PDK1. Akt is fully activated following its phosphorylation at two regulatory residues, a threonine residue on the kinase domain and a serine residue on the hydrophobic motif, which are structurally and functionally conserved within the AGC kinase family. Phosphorylation of a threonine residue on the kinase domain, catalyzed by PDK1, is essential for Akt activation. It causes a charge-induced conformational change, allowing substrate binding and increased rate of catalysis. Akt activity is augmented about 10-fold by phosphorylation at the serine residue by PDK2. The activity of Akt is negatively regulated by PTEN and SHIP. The activation of these MAP kinases is mediated by Rac and cdc42, two small G-proteins. The activated cdc42 binds to PAK65 protein kinase and activates it. The activated PAK65 can activate MEKK, which in turn phosphorylates SEK/JNKK and activates it. The active SEK/JNKK phosphorylates JNK/SAPK (at the TPY motif) that in turn binds to the N-terminal region of c-Jun and phosphorylates it. JNKK, an activator of JNK/SAPK, is reported to activate p38, whereas MKK3 activates only p38 and not JNK/SAPK. MEKK1 that stimulates SEK/JNKK1 in the JNK/SAPK cascade has only a trivial effect on p38 activation. In the upstream signaling, Sos stimulates only the ERK pathways without affecting either JNK or p38 cascade. Insulin is the major hormone controlling critical energy functions such as glucose and lipid metabolism. Insulin activates the insulin receptor tyrosine kinase (IR), which phosphorylates and recruits different substrate adaptors such as the IRS family of proteins. Tyrosine phosphorylated IRS then displays binding sites for numerous signaling partners. Among them, PI3K has a major role in insulin function, mainly via the activation of the Akt/PKB. Activated Akt induces glycogen synthesis through inhibition of GSK-3; protein synthesis via mTOR and downstream elements; and cell survival through inhibition of several pro-apoptotic agents, including Bad, Forkhead family transcription factors and GSK-3. Insulin signaling also has growth and mitogenic effects, which are mostly mediated by the Akt cascade as well as by activation of the Ras/MAPK pathway. Cell cycle arrest by the mammalian Target of Rapamycin (m TOR) complex requires the presence of the intact kinase domain of mTOR and, in particular, a conserved serine within this domain, which has been identified as an Akt/PKB-mediated phosphorylation site. Biomarkers indicate that the mTOR pathway is hyperactive in certain types of cancers, suggesting that mTOR could be an attractive target for cancer therapy. Activated mTOR may provide tumor cells with a growth advantage by promoting protein synthesis, which is the best-described physiological function of mTOR signaling. mTOR regulates Akt activity, a crucial downstream effector in the PI-3K–PTEN pathway, which controls cell proliferation and survival.

#### **3. RESULTS AND DISCUSSIONS**

On the basis of block diagram (Figure 1) we have made truth tables of every possible path for cell survival based on individual inputs i.e. TNF, EGF and Insulin. Than we realized the truth tables by Karnaugh Map (K-Map) and got the expression for each input and its individual possible paths. With the help of VHDL tool, we simulated the results of each path and then all the results were combined and got final result of TNF, EGF and Insulin for its cell survival (as shown in Figure 2, Figure 3 and Figure 4). For cell survival the five different proteins i.e. P13K, TNFR1, EGFR, IRS and IKK should present. If any one of them is absent, than cell will die. Figure 2 g, h, i, j and k shows the output signal considering b, c, d, e and f as possible paths taken TNF as input. Path b shows that it consists of three proteins, and for cell survival combination is 101. 101 means first and third protein is present and second protein is absent. Similarly, for path c, d, e and f combinations are 011, 11, 01 and 0 respectively. If any of the path is present than it will lead to cell survival. 'a' shows the five proteins which are compulsory proteins i.e. P13K, TNFR1, EGFR, IRS and IKK. Similarly for Figure 3 f, g, and h are output signals for b, c and d paths. For path b, c and d possible combinations are 01, 11 and 11, respectively. Similarly for Figure 4 f, g, h and i are output signals for b, c, d and e paths. For path b, c, d and e possible combinations are 101, 111, 11 and 101 respectively.

#### 4. CONCLUSION

We have demonstrated that the VHDL programming language can be applied to predict the cell survival with a high level of accuracy using three inputs such as TNF, EGF and Insulin. The computational model has reproduced experimental data with fairly accurate. Understanding the nature of signaling networks that control the cell survival is very significant and theoretical calculations, in particular the simulation process developed using VHDL, seen to be a proper tool for gaining such understanding. The results obtain will give information on how the input signals inducing cell survival should be modulated to achieve desire outputs and thus helps the experimentalists to design proposals regarding possible improvements to cell survival/ cell death.

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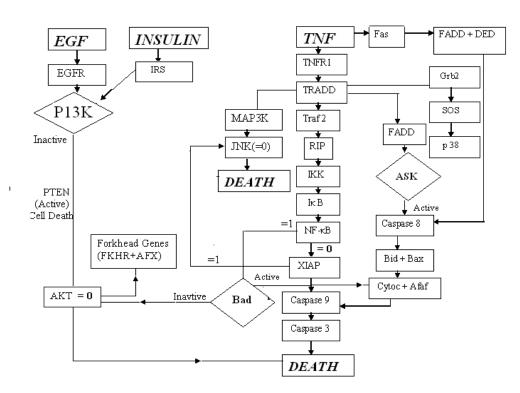


Figure 1: Model for Cell Survival

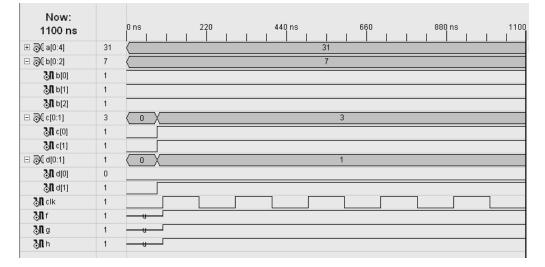
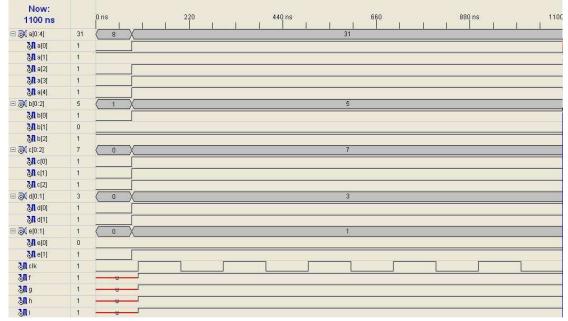
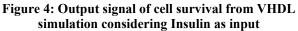


Figure 3: Output signal of cell survival from VHDL simulation considering EGF as input

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Figure 2: Output signal of cell survival from VHDL simulation considering TNF as input





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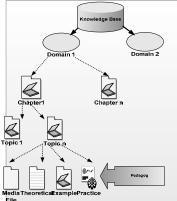


Figure 1: Knowledge Structuring

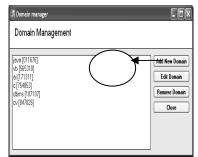


Figure 2: Sample screen shot for adding new domain/editing existing domain.

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Add New Dom	nain		
Domain Name	DS		
Domain Description	Data Structure		
		Ż	<u>×</u>
Close			Add Domain

Figure 3: Sample screen shot at the click of A.

🖩 Topic Data Management	×
Domain Name : DS	
Topic Name : Stack	
Provide Topic Textual Data In RTF Format	
RTF File Browse	
Provide Topic Audio Data In Way Format	ĥ
Way File Browse	
Provide Topic Video Data In AVI Format	ĥ
AVI File Browse	
Provide Topic Example File In RTF Format	ĥ
RTF File Browse	
Provide Topic Sample Question In RTF Format	5
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Figure 4: Sample screen shot at click of B.

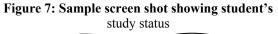
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User Type User ID Password	Salaa Admin Student
<u>Student Sign Up</u>	Close Login

Figure 5: Sample screen shot of lesson planner.

Result
Final Result (Congrats)
Domain Name: JAVA
Topic Name: Classes
Student Name: Preeti Deshmukh
Date: 5/9/2009 Time: 8:07:34 AM
Objective Questions Result: 100 % True Or False Result: 100 %
Fill In The Blanks Result:
Overall Performanace: 100 %
Save & Close

Figure 6: Sample screen shot of Result Generation.

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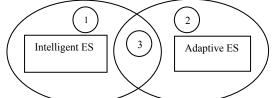


Figure 8: Mapping of Intelligent ES and Adaptive ES.

# **On Lattice Based Cryptographic Sampling: An Algorithmic Approach**

## Sunder Lal<sup>1</sup>, Santosh Kumar Yadav<sup>2</sup> and Kuldeep Bhardwaj<sup>3</sup>

Abstract - In this paper we propose a practical lattice based reduction by sampling to avoid any dependence on Schnorr's Geometric Series Assumption. It is a generalization of Schnorr's RSR algorithm. It is also well defined for bases where this algorithm is not applicable. It demonstrates that the sampling reduction can significantly reduce the length of the base vectors. We also propose a practical sampling reduction algorithm for lattice bases based on work by Schnorr. We report the empirical behavior of these algorithms.

Index Terms - Sampling algorithm, Best Bound, NTRU Reduction, lattice bases reduction.

#### **1. INTRODUCTION**

Lattice bases reduction has been establish as a powerful tool of cryptanalysis. Several cryptosystems have been proposed over the last two decades that are based on the hardness of some lattice problems. The key sizes that need to be selected in order for the system to be secure depend on the efficiency of the best algorithm for computing short vectors in lattices. In 2003 Schnorr presented Random Sampling Reduction (RSR) [8]. It is a new algorithm for computing short vectors in lattices. We assume the Geometrical Series Assumption (GSA), RSR asymptotically outperforms Block Korkine Zolotarev (BKZ) reduction algorithm [7]. However RSR is not a practical algorithm since the choice of parameter in RSR depends on the GSA. The motive of this paper is to present sampling Reduction (SR) as a practical algorithm based on RSR. The experiments to demonstrate that the shortest vector found by SR is significantly shorter than the shortest vector found by BKZ. We also propose two generalization of SR to generate lattice bases with more short vectors On this paper we describe successful attack on low dimensional NTRU lattice bases that require smaller BKZ parameters than previous attacks that used BKZ only.

#### 2. NOTATIONS AND DEFINITIONS

We consider the Euclidean metric on  $\square^d$ . A lattice L is a discrete subgroup of  $\Box^{d}$ , its dimension is

 $\dim(L):=\dim(L\otimes_{R}\Box).$ 

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The first minimum of L is  $\lambda_1(L) := \min \{ \|\mathbf{x}\| \mid 0 \neq x \in L \}.$ For any n-dimensional lattice L, there are ordered bases  $B = [b_1, \dots, b_n] \in \square^{d \times n}, n \ge 1$ 

such that

$$L = L(B) := \{ v \mid v = Bx, \text{ for some } x \in \square^n \}.$$
 Given an ordered

basis B, the set of all bases of L(B) is

$$BU \mid U \in \square^{n \times n}$$
 and det  $U = \pm 1$ .

We consider integer coefficient lattices only whence  $B \in \prod^{d \times n}$ 

Let B = BR be the Gram-Schmidt decomposition of B, i.e. the columns  $\hat{b}_j$  of  $B \in \Box^{d \times n}$  are pairwise perpendicular and  $R = (\mu_{ij}) \in \Box^{n \times n}$  is unit upper triangular. Let

$$\pi_i: \Box \stackrel{d}{\rightarrow} lin\{b_1, ..., b_{i-1}\}$$

be the orthogonal projection onto the orthogonal space of the first i–1 base vectors. We denote  $L_{i\beta}(B) = L([\pi_i(b_i),...,\pi_i(b_{\min(i+\beta-1,n)})]).$ We

also consider a generating system of L and parameters  $(\delta,eta)$ with 1/2 <  $_{\delta}$  < 1 and 2  $\leq$   $\beta$   $\in$   $\square$  , the BKZ algorithm [7] computes a  $(\delta, \beta)$ -BKZ reduced basis of L. A  $(\delta, \beta)$ -BKZ reduced basis B satisfies

$$\begin{split} \left| \mu_{ij} \right| &\leq 1/2, \ \forall \ 1 \leq i < j \leq n, \\ \text{(Size condition)} \\ \delta \parallel \hat{b}_i \parallel^2 &\leq \lambda_1 \left( L_{i,\beta} \left( B \right) \right), \ \forall \ 1 \leq i \leq n. \end{split}$$

(BKZ condition)

In BKZ reduction we obtain the Gram-Schmidt coefficient matrix R as well as  $\|\hat{b}_i\|^2$  for i = 1,...,n. L3 reduction is the special case of BKZ reduction with

$$\beta = 2$$

In this paper B = [b1, ..., bn] denotes a  $(\delta, \beta)$ -BKZ reduced ordered lattices bases with Gram-Schmidt decomposition

$$B = BR, B = [\hat{b}_1, ..., \hat{b}_n], R = (\mu_{i,j}).$$

All lattice points belong to the n-dimensional lattice L = L(B). B is updated in the course of the reduction, L stays always the same.

#### **3. SAMPLING REDUCTION ALGORITHM**

Sampling reduction operates on a generating system G of an ndimensional lattice L. Sampling reduction applies ( $\delta$ ,  $\beta$ )-BKZ reduction to G and obtain the BKZ reduced bases B. The following lemma illustrates to terminate SR.

**Lemma.** The recursion depth x of SR  $(G, \gamma, u_{\max}, \delta, \beta)$ 

is bound by

 $x \leq (n-1)\log_{\gamma}(\delta - 1/4)$ . **Proof:** SR operates on  $(\delta, \beta)$ -BKZ reduced and thus  $\delta$ -L<sup>3</sup> reduced bases. Therefore,

$$||b_1|| \leq (\delta - 1/4)^{(1-n)/2} \lambda_1(L) [4].$$

BKZ reduction never increases the length of the first vector in the generating system. Each recursion decreases the length of the first base vector by a factor at most  $\sqrt{\gamma} < 1$ , and  $b_1$  cannot be shorter than  $\lambda_1(L)$ . Hence,

$$\gamma^{x} \left(\delta - 1/4\right)^{1-n} \geq 1.$$

The input variable  $u_{\text{max}} \in \Box$  limits the amount of work SR spends on sampling vector.

**Algorithm: Sampling Reduction (SR)Input:** Generating system *G* of *L*, reduction factor  $\gamma$ , search space parameter  $u_{max}$ , BKZ parameters ( $\delta$ ,  $\beta$ ).

**Output:** (*B*, reason) where *B* is a  $(\delta, \beta)$ -BKZ reduced basis of L and reason indicates why the algorithm terminates.

Procedure SR 
$$(G, \gamma, u_{\max}, \delta, \beta)$$
  
 $(B, b, R) \leftarrow BKZ (G, \delta, \beta)$   
 $/*B = BR, b = (||\hat{b}_1||^2, ..., ||\hat{b}_n||^2)*$ 

if - BESTBOUND  $(b, u_{\text{max}}, \gamma) > u_{\text{max}}$  then

return (*B*, "success probability too small") else

for  $l = 1, ..., 2^{u_{\max}}$  do  $v \rightarrow \text{SAMPLE}(B, R, 1)$ if  $||v||^2 \leq \gamma ||b_1||^2$  then return SR ([ $v, b_1, ..., b_n$ ],  $\gamma, u_{\max}, \delta, \beta$ ) end if end for return (B, "search space exhausted")

end if end procedure

#### -

**4. SAMPLING ALGORITHM** The **Sample** is to generate lattice points that are likely to be short. Because of

$$\|v\|^2 = \sum_{i=1}^n v_i^2 \|\hat{b}_i\|^2,$$

it is plausible to expect that a lattice point *v* is short if all Gram-Schmidt coefficients  $v_i$  are small. **Sample** enumerates lattice points with all  $|v_i| \le 1$ .

To be precise, let 
$$2^{u-1} < 1 \le 2^{u}$$
. Then  $v =$  Sample (*B*, *R*, *l*) =  $\sum_{i=1}^{n} v_i \hat{b}_i$  satisfies  $\left\{ \begin{pmatrix} -\frac{1}{2}, \frac{1}{2} \end{pmatrix}, \text{ for } 1 \le i < n - u, \\ (-1,1], \text{ for } n - u \le i < n, \text{ (SC)} \\ \{1\}, \text{ for } i = n. \end{cases} \right\}$ 

Let  $i \in \{1, ..., n\}$ . The choice of  $v_i$  in  $v = \sum x_j b_j = \sum v_j \hat{b}_j$ does not affect  $v_{i+1},...,v_n$  since R is unit upper triangular. Therefore, Sample computes  $(x_i, v_i)$  by iteration based on  $x_n = v_n = 1$ . Assume the coefficients  $(x_{i+1}, v_{i+1}),..., (x_n, v_n)$  are already fixed. Then Sample determines the unique  $x' \in \Box$ 

with 
$$\pi_i \left( x'b_1 + \sum_{j=i+1} x_j b_j \right) = v'\hat{b}_i = \sum_{j=i+1}^n v_j \hat{b}_j$$
 and  $v' \in (-1/2, 1/2)$ . Sampling chooses  $(x_i, v_i) = (x', v')$  if 1 div  $2^{n-i-1}$  is even. Else  $(x_i, v_i)$  becomes also unique  $(x' \pm 1, v' \pm 1)$  such that

 $v_i \in (-1, -1/2] \cup [1/2, 1).$ 

Thus,  $\{1,...,2^{u_{\max}}\} \rightarrow L(B) : l \mapsto$  Sample (B, R, l) is an enumeration of all points in L(B) subject to (SC) with  $u = u_{max}$ . Inspection of the following algorithm shows the computation of Sample requires 2n vector updates and assignments, i.e.  $O(n^2)$  arithmetic operations.

Algorithm:

Input: Unit upper triangular matrix

 $\mathbf{R} = [\mathbf{r}_1, ..., \mathbf{r}_n] \in \square^{n \times n}, \text{ lattice basis} \qquad \mathbf{B} = [b_1, ..., b_n] \in \square^{n \times n}$ 

With Gram-Schmidt decomposition B = BR,  $1 \le l \le 2^{n-1}$ . **Output:**  $u \in L(B)$  subject to (SC). **Procedure: Sample** (B, R, l)

$$v \leftarrow b_n, v = (v_1, \dots, v_n)^t \leftarrow r_n$$
  
for  $i = n - 1, n - 2, \dots, l$  do  
 $x \leftarrow [v_i - \frac{1}{2}]$   
if  $l \mod 2 = 1$  then  
 $/* - \frac{1}{2} \le v_i - x \le \frac{1}{2} */$ 

if 
$$v_i - x \le 0$$
 then

$$x \leftarrow x - 1$$
 /\*  $\frac{1}{2} < v_i - x \le 1*/$ 

else

$$x \leftarrow x+1$$
 /\*  $-1 < v_i - x \le -\frac{1}{2}$ \*/

end if

end if  $l \leftarrow 1$  div 2  $v \leftarrow v - xb_i, v \leftarrow v - xr_i$ /\* $v_i \leftarrow v_i - x^*/$ 

end for return v end procedure

#### 5. BEST BOUND ALGORITHM

The vectors computed by SAMPLE are likely to be short but they are of course not necessarily shorter than  $b_1$ . The algorithm BESTBOUND yields as estimate how many samples are required in the search space

 $V_t := \{v_1, \dots, v_{2-t}\}.$ If we want to guarantee a success probability Pr [min { $||v||^2 v \in V_t$ }  $\leq \gamma ||b_1||^2$ ]  $\geq 1/2$ . Let  $l \in_{\mathbb{R}} \{1, \dots, 2^u\}$  be by SAMPLE

$$(B, R, l) = \sum_{i=1} v_i \hat{b}_i$$

are statistically indistinguishable from independent random variables with uniform distribution on the intervals defined by (SC) [1].

BESTBOUND is supposed to return a lower bound for (the log<sub>2</sub> of) the probability that SAMPLE returns a vector shorter than  $\sqrt{\gamma} \parallel b_1 \parallel$ . The algorithm is based on the following idea: The sampling of a lattice point v is a random experiment. We consider some event  $(S_{q,k})$  parameterized by  $q \in [0, 1]$  and  $1 \le k \le n - u_{max} \le n$ . The probability of  $(S_{q,k})$  is strictly increasing in q. Let  $0 \le q_{\gamma} \le 1$  be maximal s.t. the conditional expected length  $E[||u||^2 | (S_{q,k}] \le \gamma ||b_1||^2$ . Then the success probability is

$$\Pr[||v||^{2} \le \gamma ||b_{1}||^{2}] \ge \Pr[||v||^{2} \le E[||v||^{2}|(S_{q_{\gamma},k})]$$
  
BestBound  
$$S_{q_{\gamma},k})]\Pr[(S_{q_{\gamma},k})] = \frac{1}{2}\Pr[(S_{q_{\gamma},k})].$$

computes

 $\max\left\{\left|\log_2\left(\frac{1}{2}\Pr[(S_{q_{\gamma},k})]\right)\right| \mid k=1,...,n-u_{\max}\right\}.$  Conseque ntly, if SR samples at least  $2^{-\text{BestBond}(b, u_{\max}, \gamma)}$  lattice

points then the probability to find a sufficiently short vector is at least 1/2.

The event  $(S_{\mathfrak{a},k})$ . Consider the random experiment v = Sample(B, R, l)

$$= \sum_{i=1}^{n} v_i \hat{b}_i, \ l \in_R \{1, \dots, 2^{u_{\max}}\}.$$

 $\sigma \in \text{Sym}(\{1,...,n\})$  describes the sorting of the firs  $n - u_{\text{max}} - 1$ elements of b in non-increasing order, i.e.

$$\| \dot{b}_{\sigma(1)} \|^{2} \ge \dots \ge \| \dot{b}_{\sigma(n-u_{\max}-1)} \|^{2} \text{ and}$$
  

$$\sigma(i) = i \quad \text{for } i \ge n - u_{\max} \qquad (1)$$
  
Let  $q \in [0, 1]$  and  $1 \le k < n - u_{\max}$ .  $(S_{q,k})$  denotes the event

$$v_{\sigma(i)}^2 \le \frac{1}{4} q^{k-i}$$
 for  $i = 1,...,k-1$ . (S<sub>q,k</sub>)

The randomness assumption on  $v_i$  yields

$$\Pr[(S_{q,k})] = \prod_{i=1}^{k-1} \Pr\left[ |v_{\sigma(i)}| \le \frac{1}{2} q^{\frac{k-i}{2}} \right] = q^{\frac{k(k-1)}{4}}.$$
 The

expected length of v. Assume  $(S_{q,k})$ . For any uniform random variable  $x \in (-t, t]$  the expected value of  $x^2$  is  $E[x^2] = \frac{1}{2}t^2$ .

The  $v_i$  are independent random variables uniformly distributed on intervals defined by (SC) and  $(S_{q,k})$  whence E(h, h, r),  $E[1, 1|2| \langle Q \rangle]$ 

$$E(b,k,q) := E[||v||^{2}|(S_{q,k})]$$

$$= \sum_{i=1}^{n} E[v_{i}^{2}|(S_{q,k})] ||\hat{b}_{i}||^{2}$$

$$= \sum_{i=1}^{k-1} q^{k-i} \frac{||\hat{b}_{\sigma(i)}||^{2}}{12} + \sum_{i=k}^{n-u_{\max}-1} \frac{||\hat{b}_{\sigma(i)}||^{2}}{12}$$

$$+ \sum_{i=n-u_{\max}}^{n-1} \frac{||\hat{b}_{i}||^{2}}{3} + ||\hat{b}_{n}||^{2}.$$

**Algorithm: BestBound** 

**Input:**  $b = (||\hat{b}_1||^2, ..., ||\hat{b}_n||^2)$ , base 2 logarithm  $u_{\text{max}}$  of maximum number of samples, reduction factor  $\gamma$ 

Output:  
$$t_{\max} = \max \{ t \in \Box \mid \Pr[\min\{||v||^2 \\ \leq \gamma ||\hat{b}_1||^2 | v \in V_t \} \} \ge 1/2 \} \cup \{-\infty\}.$$

$$\leq \gamma \mid \mid b_1 \mid \mid^2 \mid v \in V_t \mid j \geq 1/2 \mid 0 \mid \{ procedure ExpLength (l, k, u, q) \\ /* l = (l_1, ..., l_n) */$$

return

$$\frac{1}{12} \sum_{i=1}^{k-1} q^{k-i} l_i + \frac{1}{12} \sum_{i=k}^{n-u-1} l_i + \frac{1}{3} \sum_{i=n-u}^{n-1} l_i + l_n$$

end procedure

procedure LogSuccessProbBound  $(l, k, u, \gamma)$ 

if ExpLength (L, k, u, 1)  $\leq \gamma || \hat{b}_1 ||^2$  then return - 1

else if ExpLength (l, k, u, 0)  $\ge \gamma \| \hat{b}_1 \|^2$  then return  $-\infty$  end if

$$q_{\gamma} \leftarrow \text{RegulaFalsi}(\text{ExpLength}(l, k, u, q) = \gamma || \hat{b}_1 ||^2, q \in [0, \infty]$$

1]) return  $\left\lfloor \frac{k(k-1)}{4} \log_2(q_{\gamma}) - 1 \right\rfloor$  end procedure

procedure BestBound (b,  $u_{max}$ ,  $\gamma$ )

$$l \leftarrow (\|\hat{b}_{\sigma(1)}\|^2, \dots, \|\hat{b}_{\sigma(n)}\|^2)$$

/\* permutation  $\sigma$  \*/

returnmax{LogSuccessProbBound $(l, k, u_{max}, \gamma) | k = 1, ..., n - u_{max}$ }end procedureNumerical Representation

**Computation of**  $\mathbf{q}_{\mathbf{\gamma}}$ **.** The expected length E(b, k, q) is a polynomial in q with non-negative coefficients Therefore

 $f: [0, 1] \rightarrow \Box : q \mapsto E(b, k, q) - \gamma || b_1 ||^2$  is a strictly increasing continuous function that has a root if and only if  $f(0) \le 0 \le f(1)$ . The unique root  $q_{\gamma}$  can be efficiently determined with the textbook Regula Falsi algorithm [10] provided such a root exists.

If f(1) < 0 then the (unconditional) means value  $E[||v||^2]$  is already short enough and we have

 $\Pr[||v||^2 \le \gamma ||b_1|^2] \ge \frac{1}{2}.$ 

On the other hand, if f(0) > 0 then our approach dos not yield a positive lower bound on Pr  $[||v||^2 \le \gamma ||b_1||^2]$  for this particular choice of k.

The optimal bound t. BestBound computes the maximum success probability for all k. The computation of  $Pr[(S_{a,.k})]$ 

is in our experience fast enough that the cost for computing the probability for all  $k = 1, ..., n - u_{\text{max}} - 1$  is negligible.

#### 6. RESULTS

Followed by Linux system with a 2.4 GHz Pentium 4 processor and 1 GByte RAM. We used a lattice reduction library that is derived from Shoup's NTL [9]. We tested our algorithm with bases in Hermite normal form as proposed by Micciancio [10] for the public keys in his variant of the GGH cryptosystem. They are derived from base vectors uniformly chosen from a cube whence the generated lattices do not have any special structure. The HNF bases were (0.99  $\beta$ )-BKZ reduced for various values of  $\beta$ . The resulting bases were input to the Sampling Reduction.

A large part of this improvement is gained in the first iterations. With

 $\beta$  = 5, the Sampling Reduction took 1928s, of 2which 71s were spent on the BKZ updates. With  $\beta$ =10, the Sampling reduction ran only for 577s but here 190s were spent in the BKZ updates. It is noticeable that the very first base vectors are much more improved than the remaining base vectors. Most of the time, the effect of the BKZ updates peters to quickly. In particular,  $\|\hat{b}_i\|^2$  does not change significantly beyond base column 20.

Since  $E[||v||^2]$  does not change that much if only few  $\hat{b}_i$  become smaller it quickly becomes less likely that a sampled vector is shorter than  $b_1$ . This is also reflected in our estimates of the success probability's logarithm, shown in the diagram in Fig. 1(a). The estimates decrease quite rapidly with very recursion.

The value of BestBound actually depends on the choice of  $u_{\text{max}}$ : If one increments  $u_{\text{max}}$  then E(b, k, q) grows by  $\frac{1}{2} \| \hat{b} \|^2$  which means that q and therefore

 $\frac{1}{4} \|\hat{b}_{n-u_{\max}-1}\|^2 \text{ which means that } q_{\gamma} \text{ and therefore}$   $\Pr[(S_{\max})] = 0$ 

 $\Pr[(S_{q_{\gamma},k})]$  become smaller.

#### 7. CONCLUSION AND FUTURE TRENDS

In our work we have demonstrated that the Sampling Reduction can significantly reduce the length of the base vectors. We have also proposed two generalizations that further reduce the overall length of the base vectors and that allow the Sampling Reduction to proceed even if jumps in the length of the orthogonalized base vectors disrupt the plain Sampling Reduction. Observing the algorithms and procedure.

We find that our estimates of the success probability are too pessimistic. We plan to test whether it is numerically feasible to calculate the distribution of the length of the sampled vectors directly by convoluting (via FFT) the distributions of the coefficients  $v_i$ . The result needs to be verified by further experiments in higher dimensions and approach. The implementa-tion can also be performed in C<sup>#</sup>.

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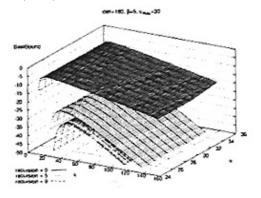


Figure 1(a): Sampling Reduction of HNF Bases

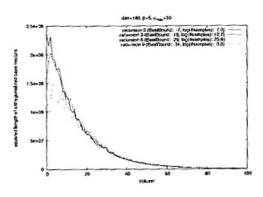


Figure 1(b): Values of Best Bound,  $\parallel \hat{b}_i \parallel^2$ 

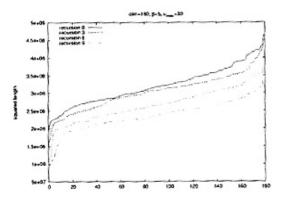


Figure 1(c): Values of BestBound,  $\| \, b_i \, \|^2$  (sorting in non decreasing order)

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#### ACKNOWLEDGEMENT

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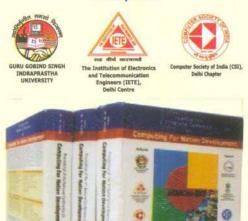


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